

# RSF Performance Objectives: 2020-21

Eligible Program Expenditure Category	Output (% Investment of RSF grant funds)	Institutional Performance Objective	Performance Indicator (Baseline: for the year ending March 31, 2017)	Target Outcomes	Report outcome (linked to Performance Indicator) – Baseline Year: 2016-17	Report outcome (linked to Performance Indicator) Year: 2020-21
<b>Research Facilities</b>	Projected % of RSF grant in supporting operation and maintenance of research facilities: 27%	<ul style="list-style-type: none"> <li>•Establish/maintain/enhance facilities to attract and retain top researchers and research partners</li> <li>•Sustain the effective operation of research facilities at affiliated institutions</li> </ul>	<ul style="list-style-type: none"> <li>•Overall area of space allocated to research</li> <li>•# of LUIL technicians supporting Tri-Agency funded researchers</li> <li>•Overall number of clients served by LUIL</li> </ul>	<ul style="list-style-type: none"> <li>•Maintain/increase the amount of research space</li> <li>•Researchers productivity enhanced</li> <li>•Increase number of internal and external clients</li> </ul>	<ul style="list-style-type: none"> <li>•Space: 9,278.72m2</li> <li>•5 technicians (2 full time, 2 part-time, 1 scientist)</li> <li>•Clients: 56 faculty members + 18 external clients = 74</li> </ul>	<ul style="list-style-type: none"> <li>• Space: 11,328.90m2 ((increase of 2,050.18m2)</li> <li>• 2 technicians (full time), 1 scientist</li> <li>• Clients: 22 faculty members + 16 external customers = 38 (decrease of 36 from baseline year)</li> </ul>
<b>Research Resources</b>	RSF grant invested in supporting operation of research resources: 5%	<ul style="list-style-type: none"> <li>•Continue to support world-class digital content in library</li> </ul>	<ul style="list-style-type: none"> <li>•Total number of views/downloads accessing digital content in the library: total usage from April 2016 to March 2017</li> </ul>	<ul style="list-style-type: none"> <li>•Maintain access to CRKN digital content network in the library</li> </ul>	<ul style="list-style-type: none"> <li>•482,003 views/downloads</li> </ul>	<ul style="list-style-type: none"> <li>• 503,637 views/downloads (increase of 21,634 vs. baseline)</li> </ul>

<b>Management and Administration of Research Enterprise</b>	Projected % of RSF grant invested in research administration, training, tools, systems, and processes: 40%	<ul style="list-style-type: none"> <li>•Improve application success rates with Tri-Agency programs</li> <li>•Continue to support best-practices in research administration services</li> <li>•Maintenance of electronic database systems to support research management</li> </ul>	<ul style="list-style-type: none"> <li>•Success rates and amounts awarded: Major Tri-agency grants</li> <li>•Number of researchers participating in internal grant writing programs</li> <li>•Total number of grant workshops held.</li> <li>•Number of electronic database systems to support research management</li> <li>•As an FTE, total number of staff supporting the research and innovation enterprise at Lakehead University</li> </ul>	<ul style="list-style-type: none"> <li>•Increased Tri-Council success rates</li> <li>•Expansion of electronic database systems to support research management</li> <li>•Maintain/increase the number of staff supporting the research and innovation enterprise at Lakehead University</li> </ul>	Success rates: <ul style="list-style-type: none"> <li>•CIHR: Foundation/Project - 0%</li> <li>•NSERC: DG – 41%</li> <li>•NSERC: RTI – 25%</li> <li>•SSHRC – IG – 0%</li> <li>•SSHRC – IDG – 33%</li> </ul> <ul style="list-style-type: none"> <li>•Grant writing programs: 10 participants in 2016-17(SSHRC Enhancement Program)</li> </ul> <ul style="list-style-type: none"> <li>•Total number of grant workshops held: 10</li> </ul> <ul style="list-style-type: none"> <li>•3 electronic database systems to support research management</li> </ul> <ul style="list-style-type: none"> <li>•18 FTE</li> </ul>	Success rates: <ul style="list-style-type: none"> <li>•CIHR: Project - (22.2%) Spring and Fall 2020 competitions combined</li> <li>•NSERC DG – 59%</li> <li>•NSERC RTI – 29%</li> <li>•SSHRC IG – 25%</li> <li>•SSHRC IDG – 35.7%</li> </ul> <ul style="list-style-type: none"> <li>•Grant writing programs: CIHR Enhancement Program (Spring of 2020) - 4 completed program; NSERC Enhancement Program (Spring 2020) - cancelled due to low enrollment; 2020 SSHRC Enhancement Program: 7 participants completed;</li> </ul> <ul style="list-style-type: none"> <li>•Total number of grant workshops held: 17 (increase of 7 vs. baseline year)</li> </ul> <ul style="list-style-type: none"> <li>•3 electronic database systems to support research management (including Efin = a (ROME) financial module)</li> </ul> <ul style="list-style-type: none"> <li>•19 FTE</li> </ul>
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<b>Regulatory Requirements and Accreditation</b>	Projected % of RSF grant invested in ethics administration, training and compliance: 20%	<ul style="list-style-type: none"> <li>•Maintain compliance with regulatory requirements</li> <li>•Support growth in number of applications and varied methodologies</li> </ul>	<ul style="list-style-type: none"> <li>•% of researchers compliant with TCPS2</li> <li>•% of researchers compliant with OMAFRA and CCAC regulations (active GAP Certificate)</li> <li>•Maintain efficient review turnaround times</li> </ul>	<ul style="list-style-type: none"> <li>•Increased % of researchers compliant with TCPS2</li> <li>•Increased % of researchers compliant with OMAFRA/CCAC</li> <li>•Hiring of part-time Ethics Administrator</li> </ul>	<ul style="list-style-type: none"> <li>•95% compliance with TCPS2</li> <li>•98% compliance with CCAC/OMAFRA</li> <li>•REB protocol submissions: 176; ACC protocol submissions: 12 (active GAP certificate)</li> <li>•# of administrators dedicated to ethical compliance: 4.25</li> </ul>	<ul style="list-style-type: none"> <li>• 100% compliance with TCPS2 (no incidents of non-compliance reported to the REB in 2020-2021)</li> <li>• 100% compliance with CCAC/OMAFRA (active GAP certificate awarded Nov 2020)</li> <li>• REB protocol submissions: 160; ACC protocol submissions: 5</li> <li>• # of administrators dedicated to ethical compliance: 3.35</li> </ul>
<b>Intellectual Property</b>	Projected % of RSF invested in supporting intellectual property development and management: 7%	<ul style="list-style-type: none"> <li>•Maintain support for innovators and entrepreneurs</li> </ul>	<ul style="list-style-type: none"> <li>•New invention disclosures</li> <li>•New patent applications, patents, licenses</li> </ul>	<ul style="list-style-type: none"> <li>•Percentage increase in the number of invention disclosures, patents, patent applications, licenses, and start-up companies</li> </ul>	<ul style="list-style-type: none"> <li>•New invention disclosures: 7</li> <li>•New patent applications, patents, licenses: 11</li> </ul>	<ul style="list-style-type: none"> <li>• New invention disclosures: 4</li> <li>• New patent applications, patents, licenses: 9</li> </ul>

			•New start-up companies		•New start-up companies: 0	• New start-up companies: 3
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