

RSF Performance Objectives: 2020-21

Eligible Program Expenditure Category	Output (% Investment of RSF grant funds)	Institutional Performance Objective	Performance Indicator (Baseline: for the year ending March 31, 2017)	Target Outcomes	Report outcome (linked to Performance Indicator) – Baseline Year: 2016-17	Report outcome (linked to Performance Indicator) Year: 2020-21
Research Facilities	Projected % of RSF grant in supporting operation and maintenance of research facilities: 27%	<ul style="list-style-type: none"> Establish/maintain/enhance facilities to attract and retain top researchers and research partners Sustain the effective operation of research facilities at affiliated institutions 	<ul style="list-style-type: none"> Overall area of space allocated to research # of LUIL technicians supporting Tri-Agency funded researchers Overall number of clients served by LUIL 	<ul style="list-style-type: none"> Maintain/increase the amount of research space Researchers productivity enhanced Increase number of internal and external clients 	<ul style="list-style-type: none"> Space: 9,278.72m² 5 technicians (2 full time, 2 part-time, 1 scientist) Clients: 56 faculty members + 18 external clients = 74 	<ul style="list-style-type: none"> Space: 11,328.90m² ((increase of 2,050.18m²) 2 technicians (full time), 1 scientist Clients: 22 faculty members + 16 external customers = 38 (decrease of 36 from baseline year)
Research Resources	RSF grant invested in supporting operation of research resources: 5%	Continue to support world-class digital content in library	Total number of views/downloads accessing digital content in the library: total usage from April 2016 to March 2017	Maintain access to CRKN digital content network in the library	482,003 views/downloads	<ul style="list-style-type: none"> 503,637 views/downloads (increase of 21,634 vs. baseline)

Management and Administration of Research Enterprise	Projected % of RSF grant invested in research administration, training, tools, systems, and processes: 40%	<ul style="list-style-type: none"> • Improve application success rates with Tri-Agency programs • Continue to support best-practices in research administration services • Maintenance of electronic database systems to support research management 	<ul style="list-style-type: none"> • Success rates and amounts awarded: Major Tri-agency grants • Number of researchers participating in internal grant writing programs • Total number of grant workshops held. • Number of electronic database systems to support research management • As an FTE, total number of staff supporting the research and innovation enterprise at Lakehead University 	<ul style="list-style-type: none"> • Increased Tri-Council success rates 	<ul style="list-style-type: none"> Success rates: <ul style="list-style-type: none"> • CIHR: Foundation/Project - 0% • NSERC: DG – 41% • NSERC: RTI – 25% • SSHRC – IG – 0% • SSHRC – IDG – 33% • Grant writing programs: 10 participants in 2016-17 (SSHRC Enhancement Program) • Total number of grant workshops held: 10 • 3 electronic database systems to support research management • 18 FTE 	<ul style="list-style-type: none"> Success rates: <ul style="list-style-type: none"> • CIHR: Project - (22.2%) Spring and Fall 2020 competitions combined • NSERC DG – 59% • NSERC RTI – 29% • SSHRC IG – 20% • SSHRC IDG – 35.7% • Grant writing programs: CIHR Enhancement Program (Spring of 2020) - 4 completed program; NSERC Enhancement Program (Spring 2020) - cancelled due to low enrollment; 2020 SSHRC Enhancement Program: 7 participants completed; • Total number of grant workshops held: 17 (increase of 7 vs. baseline year) • 3 electronic database systems to support research management (including Efin = a (ROMEO financial module) • 19 FTE
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Regulatory Requirements and Accreditation	Projected % of RSF grant invested in ethics administration, training and compliance: 20%	<ul style="list-style-type: none"> Maintain compliance with regulatory requirements Support growth in number of applications and varied methodologies 	<ul style="list-style-type: none"> % of researchers compliant with TCPS2 % of researchers compliant with OMAFRA and CCAC regulations (active GAP Certificate) Maintain efficient review turnaround times 	<ul style="list-style-type: none"> Increased % of researchers compliant with TCPS2 Increased % of researchers compliant with OMAFRA/CCAC Hiring of part-time Ethics Administrator 	<ul style="list-style-type: none"> 95% compliance with TCPS2 98% compliance with CCAC/OMAFRA REB protocol submissions: 176; ACC protocol submissions: 12 (active GAP certificate) # of administrators dedicated to ethical compliance: 4.25 	<ul style="list-style-type: none"> 100% compliance with TCPS2 (no incidents of non-compliance reported to the REB in 2020-2021) 100% compliance with CCAC/OMAFRA (active GAP certificate awarded Nov 2020) REB protocol submissions: 160; ACC protocol submissions: 5 # of administrators dedicated to ethical compliance: 3.35
Intellectual Property	Projected % of RSF invested in supporting intellectual property development and management: 7%	<ul style="list-style-type: none"> Maintain support for innovators and entrepreneurs 	<ul style="list-style-type: none"> New invention disclosures New patent applications, patents, licenses 	<ul style="list-style-type: none"> Percentage increase in the number of invention disclosures, patents, patent applications, licenses, and start-up companies 	<ul style="list-style-type: none"> New invention disclosures: 7 New patent applications, patents, licenses: 11 	<ul style="list-style-type: none"> New invention disclosures: 4 New patent applications, patents, licenses: 9

			•New start-up companies		•New start-up companies: 0	• New start-up companies: 3
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