



MEMORANDUM

To: Ms. Barbara Eccles, Secretary of Senate

From: Dr. Richard Maundrell, Chair, Senate Academic Committee

Senate Meeting Date: February 11, 2019

Subject: **Senate Academic Committee Report**

The Senate Academic Committee (SAC) met on January 22, 2019.

1. **Senate Academic Committee - Quality Assurance Subcommittee**
The Senate Academic Committee passed a motion to approve the SAC Quality Assurance's recommendation and forward them to the next appropriate committee in the Curriculum Navigator workflow:
 - New Program PhD Health Sciences (2014-HBS-4397)
 - KINE Transfer Programs Georgian and Canadore (2018-HBS-6665)
2. **Student Code of Conduct – Academic Integrity Code (Code) and Appeal Policy (Policy)**
The final draft of the Code and Policy were presented to SAC and the following motion was passed:

Motion: that the Senate Academic Committee endorses the new Academic Integrity Code and Appeal Policy and recommends approval by Senate.

The Senate Academic Committee circulated an email to all Senators on January 24, 2019 with an additional opportunity to provide feedback on the Code and Policy. Feedback is due by Tuesday, February 12, 2019 at 4pm. SAC intends to bring both items forward for Senate approval at the March 18, 2019 Senate meeting. For convenience, both documents are attached to this report.
3. **Lakehead University Draft 2019-2024 Academic Plan**
The final draft of the Plan was presented to the SAC and the following motion was passed:

Motion: that the Senate Academic Committee endorses the 2019-2024 Academic Plan and recommends approval by Senate.

SAC also discussed and agreed that the Plan be presented to Ogimaawin-Aboriginal Governance Council (O-AGC) and the Board of Governors for endorsement, before going to Senate for final approval. The Board of Governors endorsed the Plan at their February 1, 2019 meeting, and the O-AGC endorsed the Plan at their January 29, 2019 meeting.

Respectfully Submitted

Dr. Richard Maundrell
Chair, Senate Academic Committee

Attachment: Student Code of Conduct – Academic Integrity Code and Appeal Policy

SECTION I: INTRODUCTION	2
Preamble.....	2
Purpose.....	2
Scope.....	2
Confidentiality	3
Academic Integrity and Academic Dishonesty	3
SECTION II: RESPONSIBILITIES AND EXPECTATIONS.....	3
Academic Integrity Education.....	3
Members of the University Community.....	3
Registrar	4
Student Accessibility Services	4
Faculty of Graduate Studies.....	4
Faculty and Instructors	4
Students.....	4
Graduate Students	5
SECTION III: VIOLATIONS OF THIS ACADEMIC INTEGRITY CODE	5
Identifying Offences (Breaches of Academic Integrity)	5
SECTION IV: PROCEDURAL PRINCIPLES.....	6
Commitment to Fairness	6
Accommodations for those with a Disability	6
Nature of Communication	6
Support and Advice: Accompaniment/Representation.....	6
Standard of Proof	7
Restrictions and Limitations During an Investigation for an Alleged Breach of Academic Integrity	7
Allegations of Breaches of Academic Integrity involving Multiple Students	7
Time Requirements	7
Recording of Meetings	7
Records of Complaints, Investigation, and Outcome	7
Reports on Allegations of Breaches of Academic Integrity	8
SECTION V: PROCEDURES TO ADDRESS BREACHES OF ACADEMIC INTEGRITY	8
Primary Responsibility for Bringing Forward Suspected Breaches of Academic Integrity.....	8
Breaches Apart from Those Involving Final Examinations	8
General Provisions Governing Breaches of Academic Integrity Involving Examinations	13
SECTION VI: SANCTIONS AND FACTORS TO CONSIDER WHEN ASSIGNING A SANCTION	13
SECTION VII: APPEAL PROCESS AND REVIEW OF THIS ACADEMIC INTEGRITY CODE.....	15
APPENDIX	
A. Definitions	16

Student Code of Conduct – Academic Integrity

SECTION I: INTRODUCTION

PREAMBLE

1. As stated in the Lakehead University Act (1965), the objects and purposes of the University are:
 - The advancement of learning and dissemination of knowledge; and
 - The intellectual, social, moral and physical development of its members and the betterment of society.
2. The ability to learn can be preserved only through respect for the rights of others, for the free expression of ideas, and for the law.
3. Lakehead University is dedicated to learning and the advancement of knowledge. The attainment of this purpose requires the individual integrity of all members of the University community, including all [Students](#) – graduate, undergraduate and those in non-degree studies as well as those who are applicants to the University. Academic Integrity is a core value that supports the University's mission.

PURPOSE

4. This Student Code of Conduct – Academic Integrity (hereinafter referred to as the Academic Integrity Code) provides members of the University community with general guidelines for appropriate academic behaviours and identifies prohibited Academic Conduct. The intention of Lakehead University is to ensure fair treatment, a transparent process and consistent application in the administration of this Academic Integrity Code.
5. This Academic Integrity Code outlines procedural requirements, including the essential requirements of any investigation into a concern involving Academic Integrity. It also provides guidance regarding jurisdiction, offences, and Sanctions. This Academic Integrity Code supports Academic Units (i.e. Faculties and Departments/Schools) in developing procedures that can be adapted to their specific structures, but which also resemble other units' procedures closely enough to maintain fairness and consistency for Students, instructors and administrators across the University.

SCOPE

6. This Academic Integrity Code applies to:
 - a. The academic activities of all registered undergraduate and graduate Students, both on and off-campus, those in professional programs as well as those registered in non-degree programs;
 - b. Applicants to the University as well as those who confirm their intent to enroll in any graduate or undergraduate programs or in non-degree studies;
 - c. Those who are between academic terms of study;
 - d. Those who are participating in a study-abroad program; and
 - e. Those who were enrolled at the date of an alleged incident but may have been withdrawn or graduated from the University.
7. Students who have graduated may be subject to disciplinary review and possible academic penalty under this Academic Integrity Code if it is determined that their actions facilitated breaches of Academic Integrity (acts of academic dishonesty) amongst currently enrolled Students.

CONFIDENTIALITY

8. Lakehead University is bound by its policies regarding the confidentiality of each Student's Personal Information and complies with the Freedom of Information and Protection of Privacy Act (FIPPA). In the administration of this Academic Integrity Code, information, documentation or evidence may be accessed by, submitted to and/or reviewed by members of the University community as a function of their position (e.g. Instructor, Department Chair, Director of School, Dean, Judicial Officer as necessary, Registrar, etc.).
9. Personal Information related to disciplinary cases will be shared only with appropriate University authorities as necessary for each case. Every member of the University community shall respect and maintain the University's obligation to protect the right to confidentiality and the privacy of its Students, faculty and staff.
10. For Students in double-degree programs and/or enrolled in partnership programs, information regarding a breach of Academic Integrity will be shared with the other Faculty, School, or partnership institution.

ACADEMIC INTEGRITY AND ACADEMIC DISHONESTY

11. Academic Integrity is a Student's commitment to the fundamental values of honesty, trust, fairness, respect and responsibility, all of which are central to the respect for academic principles and behaviours that support the University's mission.
12. Academic Dishonesty is defined as seeking to obtain or obtaining academic advantage by dishonest or unfair means or knowingly assisting another Student to do so. Academic Dishonesty is a breach of Academic Integrity and a violation of this Academic Integrity Code.
13. The University protects the integrity of its degrees, diplomas and certificates by ensuring that each Student actually completes the work, thus demonstrating the knowledge represented by that specific credential. Academic Integrity is essential to Student learning and to the credibility of each degree, diploma or certificate and Lakehead University therefore takes Academic Integrity very seriously.

SECTION II: RESPONSIBILITIES AND EXPECTATIONS

ACADEMIC INTEGRITY EDUCATION

14. Educating Students in Academic Integrity and providing helpful and constructive advice on Academic Integrity to other members of the University community is an important and ongoing goal. The following areas, amongst others, will be involved with the development of and the dissemination of ongoing Academic Integrity programming to Students, faculty, and staff as appropriate:
 - a. Student Success Centre; and
 - b. Teaching Commons

MEMBERS OF THE UNIVERSITY COMMUNITY

15. Members of the University community have the responsibility to:
 - a. Maintain of an atmosphere of Academic Integrity in all phases of academic life, including research, teaching, learning and administration;
 - b. Detect and report incidents involving alleged breaches of Academic Integrity, and
 - c. Provide assistance and cooperation in the investigation of incidents involving alleged breaches of Academic Integrity.

REGISTRAR

16. The Registrar is responsible to initiate the development of policies and procedures to:
 - a. Detect misrepresentation of credentials during the undergraduate admissions process; and
 - b. Maintain Academic Integrity during the writing of centrally-administered examinations.

STUDENT ACCESSIBILITY SERVICES

17. Student Accessibility Services and its invigilators will be responsible to ensure that the environment within accommodated tests and exams is conducive to uphold Academic Integrity and to prevent Academic Dishonesty.

FACULTY OF GRADUATE STUDIES

18. The Faculty of Graduate Studies is responsible to initiate the development of policies and procedures to detect misrepresentation of credentials during the admissions process for graduate studies.

FACULTY AND INSTRUCTORS

19. Faculty and Instructors have the rights for using educational strategies that encourage Students to act with integrity in academic matters. These may include:
 - a. Clearly articulating expectations about appropriate academic behaviour at the beginning of each course;
 - b. Developing course syllabi that clearly set out expectations for referencing sources of information in individual work, for group work, and so on;
 - c. Providing a **Syllabus Statement** outlining the need to uphold Academic Integrity, an example of which is provided below:

“A breach of Academic Integrity is a serious offence. The principle of Academic Integrity, particularly of doing one’s own work, documenting properly (including use of quotation marks, appropriate paraphrasing and referencing/citation), collaborating appropriately, and avoiding misrepresentation, is a core principle in university study. Students should view the Student Code of Conduct – Academic Integrity – for a full description of academic offences, procedures when Academic Integrity breaches are suspected and sanctions for breaches of Academic Integrity.”

- d. Using mechanisms during testing that reduce or eliminate the opportunities for copying, e.g. test facilities, randomized seating, additional invigilators, etc.;
- e. Regularly producing new tests/examinations, especially for deferred exams or for Students who require alternate exam times; and
- f. Producing new assignments (e.g. laboratories, essays, report topics) on a regular basis;

STUDENTS

20. Students are required to act ethically and with integrity in academic matters and demonstrate behaviours that support the university’s academic values.
21. Students are responsible for being aware of and demonstrating behaviour that is honest and ethical in their academic work. Such behaviour includes:
 - a. Completing one’s own original work;
 - b. Knowing and following the appropriate citation and punctuation methods for referencing sources of information when quoting, summarizing, and paraphrasing;
 - c. Asking for clarification of expectations as necessary;

- d. Collaborating appropriately on assigned group and teamwork;
 - e. Acknowledging the contribution of others (giving credit);
 - f. Preventing their work from being used by others (e.g. protecting access to digital files);
 - g. Adhering to the principles of Academic Integrity when conducting and reporting research, and;
 - h. Following published examination regulations and protocols.
22. Students are responsible for their behaviour and may face penalties under this Academic Integrity Code, if they are found to be in violation of breaching Academic Integrity.

GRADUATE STUDENTS

23. All Students are expected to be fully familiar with this Academic Integrity Code and demonstrate Academic Integrity in all their studies and competency in the acknowledgement of other peoples' work, whether that work is in print or another form of media.
24. Graduate Students are responsible for familiarizing themselves with the definitions of Academic Integrity, research integrity, fair dealing, and research misconduct in the various University policies and procedures.
25. As a result, Graduate Students may face more severe penalties under the Academic Integrity Code if they are found to be in violation of breaching Academic Integrity.

SECTION III: VIOLATIONS OF THIS ACADEMIC INTEGRITY CODE

IDENTIFYING OFFENCES (BREACHES OF ACADEMIC INTEGRITY)

26. The following list defines the domain of relevant acts that are offences under this Academic Integrity Code. The list is not meant to be exhaustive.
- a. Plagiarism – Presenting another's ideas or phrasings as one's own without proper acknowledgement. Examples include: copying and pasting from the internet, a printed source or other resource without proper acknowledgement; copying from another Student, whether past or present; using direct quotations or large sections of paraphrased material in an assignment without proper acknowledgement; submitting the same piece of work in more than one course without the permission of the instructor(s);
 - b. Possession or use of unauthorized materials – Examples include: possessing or using unauthorized study materials or aids during a test or exam; copying from another's test or exam paper; using an unauthorized calculator or other aids during a test or exam; possessing or using any unauthorized items that are banned from the exam room or are not expressly stated as permitted on the exam paper; removal of resources from the library, or deliberate concealment of library resources; mutilating or altering an examination booklet or examination paper, or removing an examination booklet or examination paper or booklet from the examination room;
 - c. Facilitation - Enabling another Student's breach of Academic Integrity. Examples include: allowing one's work to be copied by someone else; buying or selling of term papers, assignments, and/or submitting them as one's own; buying or selling of tests or exams;
 - d. Forgery – Submitting counterfeit documents or statements. Examples include: creating a transcript or other official document (e.g. language proficiency test results); creating or falsifying a medical note; misrepresenting academic credentials from other institutions or submitting false information for the purpose of gaining admission or credits;
 - e. Falsification – Misrepresenting one's self, one's work or one's relation to the University. Examples include: altering transcripts or other official documents; altering a grade on academic work after it has been marked and using the altered materials to have the recorded grade changed; impersonating someone in an examination or test; impersonating another Student either in person or electronically; submitting a take-home examination written, in whole or in part, by someone else; fabricating or falsifying laboratory or

research data; submitting false information or false medical documentation to gain a postponement or advantage for any academic work; providing a false signature for attendance at any class or assessment procedure where the signature is used as proof of authenticity or participation in the academic assessment;

- f. Interference – Examples include: stealing, destroying or tampering with another Student's academic work; preventing another Student from completing a task for academic assessment;
- g. Unauthorized collaboration or communication – Examples include: unauthorized collaborating between Students; communicating with anyone other than an invigilator during an examination or obtaining any non-authorized assistance during a test or examination; and
- h. Unprofessional or Inappropriate Behaviour – Exhibiting unprofessional, inappropriate or dishonest behaviour relating to a field placement, practicum or internship including, but not necessarily limited to, the forging or falsification of a placement contract, etc.

SECTION IV: PROCEDURAL PRINCIPLES

COMMITMENT TO FAIRNESS

- 27. The procedures for handling violations of this Academic Integrity Code reflect both the gravity with which the University views such offences and the University's commitment to fairness.
- 28. A Student who is alleged to have violated this Academic Integrity Code is entitled to have the matter addressed fairly and expeditiously in accordance with the principles of natural justice, procedural fairness and this Section IV.

ACCOMMODATIONS FOR THOSE WITH A DISABILITY

- 29. Any individual who may require accommodations due to a disability during any aspect of an Academic Integrity Code process is entitled to appropriate accommodations. Any Academic Integrity Processes may be modified in accordance with such accommodation.
- 30. An individual who may, due to a disability, require information or documents in another format, should contact the Office of Student Affairs.

NATURE OF COMMUNICATION

- 31. Normally, notices and any written communication around Academic Integrity Code matters are delivered by way of an email to a Student's Lakehead email account, however, any Student may request communication be made available by hard copy. At times notices and other written communications may be delivered in-person, by phone, or by mail (inter-campus, regular, priority, courier, or registered).

SUPPORT AND ADVICE: ACCOMPANIMENT/REPRESENTATION

- 32. Students may be accompanied by a Support Person at any stage of the Academic Integrity Processes. A Support Person is a person of the individual's choice, normally a friend, Elder, or another member of the University community, who acts in a supportive role but is not an active participant in the process.
- 33. A Support Person is expected to be present in person, unless otherwise determined by the University. It is the Student's responsibility to ensure that any Support Person can attend any meeting as scheduled.
- 34. The role of a Support Person (apart from legal counsel at the appeal stage of the process) is to provide personal and moral support, while accompanying a Student to any meeting. The Support Person shall not address administrators or Faculty directly, nor speak on behalf of the Student, and may not ask questions of anyone present at the meeting.

35. A Student has the right to include legal counsel at the appeal stage of the process. A Student, who is planning to be accompanied by legal counsel, must notify the Secretary of the Judicial Panel (the Director of Risk Management and Access to Information) at least four (4) business days in advance of any meeting.

STANDARD OF PROOF

36. The standard of proof that must be met in order for an offence to be upheld under this Academic Integrity Code is that of the “balance of probabilities.” The onus is on the University to establish that a breach of the Academic Integrity Code has occurred. This means that, for a breach of Academic Integrity to be supported, the information presented must demonstrate that it is more likely than not that the Student breached the principles of Academic Integrity.

RESTRICTIONS AND LIMITATIONS DURING AN INVESTIGATION FOR AN ALLEGED BREACH OF ACADEMIC INTEGRITY

37. While an investigation is underway for an alleged breach of Academic Integrity, the Student shall not be permitted to withdraw from the course(s) in question or formally withdraw from the University pending the outcome of the investigation.
38. While an investigation is underway for an alleged breach of Academic Integrity, the Student shall not be issued any transcripts (official or non-official) directly, but, at the Student’s request, transcripts may be sent to institutions or potential employers. If the Student is subsequently suspended or expelled, the recipients of the transcript will be issued a revised transcript. This restriction also applies in situations where the Student is no longer enrolled at the University during the investigation.
39. While an investigation is underway for an alleged breach of Academic Integrity, no Student may graduate, even if academic credit for the course(s) under investigation is not required to complete a credential. In cases where an investigation is initiated during the Student’s final year of study, or involves a course required to graduate, all reasonable attempts will be made to expedite the investigation and adjudication process before the expected convocation date.

ALLEGATIONS OF BREACHES OF ACADEMIC INTEGRITY INVOLVING MULTIPLE STUDENTS

40. In situations where it is suspected that there is a breach of Academic Integrity that involves multiple Students (e.g., a situation where it is alleged that two (2) or more Students acted together, or facilitated the actions of others, individually or collectively), it shall be understood that each Student represents an individual case of an alleged breach of Academic Integrity. The instructor and/or the Dean shall deal with each individual Student separately.

TIME REQUIREMENTS

41. Strict compliance with time requirements specified in this Academic Integrity Code may be dispensed with, as necessary, in the interests of fairness and justice.

RECORDING OF MEETINGS

42. Communications during meetings with instructors, faculty members, Deans or Judicial Officers shall not be electronically recorded by any party, regardless of consent, unless specifically authorized by the University to accommodate a disability. Written notes of meetings are acceptable.

RECORDS OF COMPLAINTS, INVESTIGATION, AND OUTCOME

43. The Office of Student Affairs and the Office of the Director of Risk Management and Access to Information shall store records (for a period of up to five (5) years after the Student graduates or ceases to be a Student) related to actions taken under this Academic Integrity Code.

44. The [Final Incident Report](#) may also be considered in any Non-Academic Code matter involving the Student if it is considered relevant by the Judicial Officer(s).
45. The Office of Student Affairs will release a copy of any [Final Incident Report](#) form on file for the Student to any Department Chair, School Director, or Dean of any Faculty for use in penalty assessment of any subsequent incident involving a breach of Academic Integrity.

REPORTS ON ALLEGATIONS OF BREACHES OF ACADEMIC INTEGRITY

46. A report shall be compiled annually for the Provost providing a summary of all complaints involving alleged breaches of Academic Integrity, including Sanctions.
47. The President shall report to the Senate and to the Board of Governors an annual summary of the number of appeals made to Sanctions under this Academic Integrity Code. The report shall include the number of cases and the number of cases where the Disciplinary Action was a) upheld; b) overturned; or c) amended.

SECTION V: PROCEDURES TO ADDRESS BREACHES OF ACADEMIC INTEGRITY

PRIMARY RESPONSIBILITY FOR BRINGING FORWARD SUSPECTED BREACHES OF ACADEMIC INTEGRITY

48. The primary responsibility for bringing forward suspected breaches of Academic Integrity lies with a University Representative; including but not limited to the faculty member/instructor of a course, Department Chair, School Director, Faculty Dean, graduate supervisor, invigilator, Registrar, etc. including the following:
 - a. The primary responsibility for bringing forward a suspected breach of Academic Integrity for work submitted for credit in a course rests with the faculty member/instructor of the course.
 - b. The primary responsibility for bringing forward a suspected breach of Academic Integrity against a graduate Student involving a graduate project, thesis work or a thesis rests with the Student's supervisor.
 - c. The primary responsibility for bringing forward a suspected breach of Academic Integrity against a graduate Student involving a comprehensive examination rests with the member(s) of the examining committee who detect(s) it.
 - d. The primary responsibility for bringing forward a suspected breach of Academic Integrity against a graduate Student suspected of research misconduct rests with the Student's supervisor.
 - e. The primary responsibility for bringing forward a suspected breach of Academic Integrity against a Student that does not fall within the preceding sections shall rest with the appropriate faculty member/instructor or another University Representative (e.g. Dean, Department Chair/School Director, Registrar, etc.). For example, if a Student steals and/or is found to be in possession of a stolen examination copy, the primary responsibility rests with the faculty member/instructor for the course.

BREACHES APART FROM THOSE INVOLVING FINAL EXAMINATIONS

Bringing Forward a Suspected Breach of Academic Integrity

49. The University Representative who suspects or can document a case of suspected breach of Academic Integrity shall, within a maximum of ten (10) working days, complete the [Allegation of Breach of Academic Integrity \(Form 1\)](#).
50. If the alleged breach of Academic Integrity involves work submitted for credit in a course the University Representative (normally a faculty member/instructor) shall:

- a. Provide a copy of the [Allegation of Breach of Academic Integrity \(Form 1\)](#) to the Office of Student Affairs; and
 - b. Notify the Student of the suspected breach of Academic Integrity. This preliminary notification will be followed by a more formal notification that will include details of the allegation, and the next steps. (See [Formal Notification to Student of Alleged Breach of Academic Integrity](#)).
51. If the alleged breach of Academic Integrity involves a matter other than work submitted for credit in a course, the University Representative shall:
- a. Inform the appropriate Department Chair/School Director and the relevant Dean, and in the case of a graduate Student, to the Dean of Graduate Studies;
 - b. Provide a copy of the [Allegation of Breach of Academic Integrity \(Form 1\)](#) to the relevant Dean (and in the case of a graduate Student to the Dean of Graduate Studies);
 - c. Notify the Student of the suspected breach of Academic Integrity - This preliminary notification will be followed by a more formal notification by the Dean's Office that will include details of the allegation, and the next steps. (See [Formal Notification to Student of Alleged Breach of Academic Integrity](#)); and
 - d. The Dean shall provide a copy of the [Allegation of Breach of Academic Integrity \(Form 1\)](#) to the Office of Student Affairs.
52. The Office of Student Affairs, having received the Allegation of Breach of Academic Integrity (Form 1) from the University Representative, shall:
- a. Notify the Registrar; and
 - b. Ascertain whether the Student has a record of any previous upheld offence(s) involving a breach of Academic Integrity. If there is no such record, the Office of Student Affairs will so inform the instructor.
53. In cases of a previous upheld offence, the Office of Student Affairs will inform the:
- a. The faculty member/instructor;
 - b. Department Chair/School Director;
 - c. Dean of the Faculty; and
 - d. Dean of Graduate Studies in cases involving a graduate Student.

When the University Representative is a Faculty Member/Instructor and there is a Suspected Breach of Academic Integrity

54. If the University Representative is a faculty member/instructor and is made aware by the Office of Student Affairs that there are no previous upheld offences involving a breach of Academic Integrity on the Student's record, the faculty member/instructor can proceed as per the [Procedures for First Offences](#) outlined in paragraphs 57 to 63.
55. If the University Representative is a faculty member/instructor and is made aware by the Office of Student Affairs that there is a previous upheld offence involving a breach of Academic Integrity on the Student's record, or if there are multiple charges against the Student or the Student is a graduate Student or the alleged offence does not relate to the work in a course (e.g. presentation of falsified document(s)), the faculty member/instructor shall refer the matter to the Dean (or Deans in the case of a graduate Student) and must provide the Dean(s) with a copy of the [Allegation of Breach of Academic Integrity \(Form 1\)](#) and all relevant documentation.

Formal Notification to Student of Alleged Breach of Academic Integrity

56. Within three (3) working days of completing the [Allegation of Breach of Academic Integrity](#) form, the University Representative (normally a faculty member/instructor or a Dean) shall notify the Student, in writing, normally to the Student's University email account. The notification to the Student shall inform the Student:
 - a. Of the allegation of a breach of Academic Integrity, the nature of the charge and the evidence supporting the allegation;
 - b. That a meeting shall be set up to discuss the matter at which time the Student will have a fair opportunity to respond to the allegation;
 - c. That if accommodations are required due to a disability during any aspect of an Academic Integrity Code process, or an appeal thereof, the Student is entitled to appropriate accommodations and that an individual who may, due to a disability, require information or documents in another format, please inform the Office of Student Affairs;
 - d. That a copy of the [Allegation of Breach of Academic Integrity \(Form 1\)](#) and other relevant document is included with the formal notification;
 - e. That the Student may provide the University Representative with a written response to the allegation. The written response to the allegation may be provided to the University Representative in advance of the scheduled meeting if so desired, presented at the scheduled meeting or may be submitted within five (5) working days following the meeting;
 - f. That the Student may seek the advice from the Office of the Ombudsperson, in accordance with the policies of the Ombudsoffice, in all matters relating to allegations of a breach of Academic Integrity;
 - g. That in any meetings as part of an investigation or in any appeal process for an alleged breach of Academic Integrity, a Student is entitled to be accompanied by a [Support Person](#);
 - h. That the Office of Student Affairs will look at whether there are any previous Academic Integrity breaches on record;
 - i. That while an investigation into an alleged breach of Academic Integrity is underway, the Student shall not be permitted to withdraw from the course(s) in which the alleged breach of Academic Integrity occurred or from the University;
 - j. That the Student must acknowledge receipt of the email. (NOTE: If no acknowledgement is received within three (3) business days, the University Representative, or designate, will send a final email to the Student with a deadline by which to respond (normally 3 business days); and

- k. In the event that the University Representative is a faculty member/instructor:
 - That failure of the Student to respond to the invitation to meet with the University Representative will automatically refer the matter to the Office of the Dean for investigation and adjudication

Procedures for First Offences (no previous upheld breaches of Academic Integrity)

57. The University Representative (normally a faculty member/instructor) shall notify the Student of the allegation of a breach of Academic Integrity (See [Notification to Student of Alleged Breach of Academic Integrity](#) for details on information to be included in the notification.)
58. The Student must make an appointment to meet with the faculty member/instructor as soon as possible to discuss this matter. The Student will have three (3) business days to make the necessary arrangements to meet. If the Student does not arrange for a meeting by the deadline, a decision will be made based on the documentation in hand and without the input of the Student
59. At the meeting:
 - a. The University Representative will facilitate the meeting, ensure introductions are made of all present, articulate the expectations for any Support Person present, and if the Ombudsperson is present, the Ombudsperson will articulate his/her role.
 - b. The University Representative shall discuss the alleged breach of Academic Integrity, share the evidence against the Student and outline the procedures that are being followed.
 - c. The Student shall be given the opportunity to speak to the allegations. The Student has the opportunity to provide the University Representative with a written response to the allegation. The written response to the allegation may be provided to the University Representative in advance of the scheduled meeting if so desired, presented at the scheduled meeting or may be submitted within five (5) working days following the meeting.
60. If the Student acknowledges that they did, through their actions, breach Academic Integrity, the faculty member/instructor shall, bearing in mind the [factors to consider](#), determine the [appropriate sanctions](#) as outlined in Section VI of this Academic Integrity Code.
61. If the Student does not acknowledge they did through their own actions breach Academic Integrity, the instructor shall determine, based upon the balance of probabilities, whether the Student engaged in a breach of Academic Integrity. Should the allegation be upheld, the faculty member/instructor shall, bearing in mind the [factors to consider](#), determine the [appropriate sanctions](#) as outlined in Section VI of this Academic Integrity Code.
62. Within ten (10) working days of the meeting with the University Representative, the Student shall be notified of the outcome of the investigation by way of a letter "[Notice of Outcome for Breach of Academic Integrity](#)" ([Form 3](#)). The Notice of Outcome letter shall, unless other arrangements have been made, be emailed to the Student using the Student's Lakehead University email address. The Notice of Outcome letter shall provide the following:
 - a. A description of the breach of Academic Integrity;
 - b. The decision and sanction(s) imposed; and
 - c. Information regarding the appeal process.
 - d. A copy of the Notice of Outcome shall be directed to the Office of Student Affairs, the relevant Department Chair/School Director, and the Student's Dean. For Students in double-degree programs and enrolled in partnership programs, information regarding a breach of Academic Integrity will be shared with the other Faculty, School, or partnership institution.
63. If the faculty member/instructor determines that a greater Disciplinary Action is required:

- a. A recommendation with all accompanying documentation and materials must be presented to the Dean for review and adjudication;
- b. The instructor shall notify the Student that the matter has been referred to the Dean;
- c. The Dean shall normally handle any such referrals as per the process articulated in Procedures for Referred, Second or Subsequent Offences outlined in paragraphs 64 to 74; and
- d. The faculty member/instructor, or the Dean in the event that the faculty member/instructor recommended a greater sanction, shall complete the [Final Incident Report \(Form 2\)](#) and forward the completed form to the Office of Student Affairs with a copy to the Student.

Procedures for Referred, Second or Subsequent Offences

64. The Office of the Dean shall notify the Student of the allegation of a breach of Academic Integrity (See [Notification to Student of Alleged Breach of Academic Integrity](#) for details on information to include in the notification.)
65. The Student shall have three (3) working days from the date of the first letter or email message informing them of the alleged breach of Academic Integrity to arrange a meeting. If the Student does not arrange for a meeting by the deadline, a decision will be made based on the documentation in hand and without the input of the Student
66. In the event that the Student fails to respond to the request to meet or fails to attend a scheduled meeting without prior notification, the case shall be investigated and adjudicated, with the Student *in absentia*.
67. The Dean will meet with the faculty member/instructor regarding the matter.
68. At the meeting with the Student:
 - a. The Dean will facilitate the meeting, ensure introductions are made of all present, articulate the expectations for any Support Person present, and if the Ombudsperson is present, the Ombudsperson will articulate his/her role.
 - b. The Dean shall discuss the alleged breach of Academic Integrity, share the evidence against the Student and outline the procedures that are being followed.
 - c. The Student shall be given the opportunity to speak to the allegations. The Student has the opportunity to provide the Dean with a written response to the allegation. The written response to the allegation may be provided to the Dean in advance of the scheduled meeting if so desired, presented at the scheduled meeting or may be submitted within five (5) working days following the meeting.
69. If the Student acknowledges that they did, through their actions, breach Academic Integrity, the Dean shall, bearing in mind the [factors to consider](#), determine the [appropriate sanctions](#).
70. If the Student does not acknowledge that they did, through their actions, breach Academic Integrity, the Dean shall determine, based upon the balance of probabilities, whether the Student did engage in a breach of Academic Integrity. The Dean shall, bearing in mind the [factors to consider](#), determine the [appropriate sanctions](#).
71. Within ten (10) working days of the meeting with the Dean, the Student shall be notified of the outcome of the investigation by way of a letter of ["Notice of Outcome for Breach of Academic Integrity" \(Form 3\)](#). The Notice of Outcome letter shall, unless other arrangements have been made, be emailed to the Student using the Student's Lakehead University email address.
72. The Notice of Outcome letter will include the following:
 - a. A description of the breach of Academic Integrity;

- b. The decision and Sanction(s) imposed; and
 - c. Information regarding the appeal process
73. The Dean shall complete the [Final Incident Report \(Form 2\)](#) and forward the completed form to the Office of Student Affairs.
74. For Students in double-degree programs and enrolled in partnership programs, information regarding a breach of Academic Integrity will be shared with the other Faculty, School, or partnership institution.

GENERAL PROVISIONS GOVERNING BREACHES OF ACADEMIC INTEGRITY INVOLVING EXAMINATIONS

75. This section is applicable to mid-term examinations and final examinations; whether administered centrally (e.g. through Enrolment Services or through Student Accessibility Services) or in the classroom by the faculty member/instructor, or a delegate.
76. Should a University Representative other than a faculty member/instructor (e.g. an invigilator, a supervisor, an administrator, a teaching assistant) have reasonable grounds to believe that a Student has breached Academic Integrity, the individual must discuss the suspected breach with the Student.
77. The exam paper will be marked with the time of the incident, the suspected breach, the signature of the University Representative and a date.
78. The Student shall normally be allowed to continue writing the exam after the suspected breach has been discussed and the exam paper has been marked with the time of the incident (as per paragraphs 76 and 77 above).
- In cases where the breach of Academic Integrity is confirmed and evidence is collected (e.g. cheat sheet, etc.) and provisions in paragraphs 76 and 77 are completed, the exam paper may be taken away and the Student instructed to leave the exam room.
79. The University Representative must complete [Allegation of Breach of Academic Integrity \(Form 1\)](#). This form is to be submitted to the Faculty Dean within three (3) working days of the incident.
80. The Faculty Dean will proceed with the [investigation and adjudication](#) as articulated above.

SECTION VI: SANCTIONS AND FACTORS TO CONSIDER WHEN ASSIGNING A SANCTION

SANCTIONS

81. Upon finding a Student to have committed an offence under the Academic Integrity Code, the following Sanctions may be applied in any number and/or combination as deemed necessary. Repeated and/or multiple offences will increase the severity of the penalty. Graduate Students involved in an offence under the Academic Integrity Code may face more serious consequences than those applied to undergraduate Students.
- a. Official warning with a letter reporting the breach of Academic Integrity, sent to the Student, and copied to the Office of Student Affairs and Student's Dean;
 - b. Submission of a revised or new piece of work;
 - c. Reduction of the mark on the piece(s) of academic work;
 - d. Mark of zero for the piece(s) of academic work;
 - e. Reduction of the course grade;

- f. Ineligibility for a supplemental examination or any other evaluative exercise for the course;
- g. Mark of zero (F) for the course with a transcript notation of "Academic Dishonesty";
- h. Completion of an educational program/workshop;
- i. Denial of permission to use facilities of the University, including computer facilities, laboratories, etc. for a designated period of time;
- j. Suspension for a period not to exceed six (6) academic terms (i.e. Fall, Winter, Spring & Summer); hence two (2) full academic years. Sanction of suspension requires the approval of the Provost;
 - Suspension entails the withdrawal from all University programs and privileges, including the right to enter and be on any University premises or be present at any University-sponsored events.
 - The Student's academic transcript will be updated with the notation of "Suspension." It is the Student's responsibility to request in writing to the Associate Registrar to have the annotation removed after two years after the end of the academic year in which the suspension was imposed.
- k. Expulsion from a Program, Department/School, Faculty or the University.
 - Expulsion from a Program, Department/School or Faculty requires the approval of the Provost;
 - Expulsion from the University requires the approval of the President upon recommendation from the Provost.
 - The Student's academic transcript will be updated with the notation of "Expelled" which is a permanent annotation.
- l. Rescission of a degree, diploma, or certificate;
 - Rescission of a credential requires the approval the President, acting under the authority of Senate, upon recommendation of the Provost.
 - The President shall review the documentation and recommendation to rescind a degree, diploma or certificate. The President shall make a report to Senate in all such cases.

FACTORS TO CONSIDER WHEN ASSIGNING A SANCTION

82. The following are factors that ought to be considered when assigning a remedy or sanction for breaches of Academic Integrity, including:
- a. Evidence of a deliberate attempt to gain advantage;
 - b. Seriousness of the offence having regard to its actual or potential consequences;
 - c. Extent to which the work or conduct in question forms a significant portion of the final grade and whether the extent of the offence is substantial;
 - d. Injury to another Student or to the institution;
 - e. Multiple offences within a single incident or multiple offences discovered at one time, rather than an isolated aberration;
 - f. Whether the offence has been committed by a Student who ought to be familiar with the expectations for Academic Integrity in the discipline, Department and/or Faculty; and
 - g. Conduct that intimidates others or provokes misconduct by others.
83. Any Sanction should reflect the extent and severity of the offence, and precedents in the academic unit, taking into account any mitigating circumstances. The onus is on the Student to provide evidence of mitigating circumstances.

SECTION VII: APPEAL PROCESS AND REVIEW OF THIS ACADEMIC INTEGRITY CODE

84. A decision and/or Disciplinary Actions imposed may be appealed in accordance with and subject to restrictions and timelines of the "[Student Code of Conduct – Appeal Policy](#)".
85. A decision and/or Disciplinary Actions by Faculty Member/Instructor may be appealed by submitting a completed [Academic Integrity Appeal Form \(Form 4\)](#) to the Faculty Dean.
86. For full details on the processes and procedures associated with an appeal to the Tribunal, please refer to the document entitled, "[Student Code of Conduct – Appeal Policy](#)".
87. Requesting and filing an appeal does not stay the academic decision or the Disciplinary Actions imposed.

Review Period: This Academic Integrity Code will be reviewed and updated on a regular basis with periodic modifications being made as necessary. A full review with approval by Senate will occur every five (5) years.

Date for Next Review: 2024

Related Policies and Procedures:

- a. Research Integrity Policy – Cases of alleged research misconduct that involve funded research being done by a Student outside of course work shall normally be governed by the procedures contained in the Research Integrity Policy.
- b. Student Code of Conduct – Non-Academic (Non-Academic Code) – The Non-Academic Code governs the non-academic behaviour of Students, whereas the Academic Integrity Code governs academic behaviour. There may be situations where a Student's conduct may involve behaviours that are both academic and non-academic in nature. Where circumstances warrant, a Student may be subject to disciplinary procedures under the Academic Integrity Code, the Non-Academic Code, or both.

Please contact the Office of Student Affairs for additional information and/or if you require this information in another format:

Open: Monday through Friday from 8:30am to 4:30pm;

Location: University Centre, Thunder Bay Campus, Room _____;

Phone: _____ or Email: _____

Appendix

A. DEFINITIONS

ACADEMIC DISHONESTY

Academic dishonesty is defined as seeking to obtain or obtaining academic advantage by dishonest or unfair means or knowingly assisting another Student to do so. Academic dishonesty is a breach of Academic Integrity.

ACADEMIC WORK

Academic work includes, but may not be limited to, any assigned work, whether oral, in writing, or in any other media, such as any academic paper, essay, thesis, research report, evaluation, project, assignment, laboratory assignment or report, creative work (e.g. computer program or code, musical composition, art work, literary work, etc.), and term test or examination. Academic work also includes work that may be required in any course, program, seminar, workshop, conference or symposium offered by the University – whether in degree or non-degree program or course of study. (Definition adapted from McMaster University’s Academic Integrity Policy).

ADMINISTRATION/ADMINISTRATORS

Refers to individuals or administrative bodies responsible for the academic programs of the University and the management of Academic Integrity Code and procedures. These include: Department Chairs, School Directors, Deans, Assistant Deans, Deputy Provost, Provost, Vice-Provosts, Associate Vice-Provosts, Judicial Panels, and the University Senate.

DESIGNATE

Someone who has been appointed to a specified role or position

DEAN

“Dean” shall be used to identify all Deans, Associate Deans, or any person designated to act on behalf of the Dean in an administrative capacity.

DEPARTMENT CHAIR OR DIRECTOR OF SCHOOL

Any person designated to act as the lead in an administrative capacity as “Chair” or “Director” for a Department or School.

EXPULSION

Refers to permanent termination of Student status in a particular course, Department/School, Faculty, or the University. Expulsion from the University requires the approval of the President upon recommendation of the Provost. The Student’s official transcript will be updated with the notation of “Expelled,” which will remain as a permanent annotation.

JUDICIAL OFFICER

A Lakehead University official designated to the role of Judicial Officer or someone authorized by the President or the Provost who will meet with Students alleged to have violated the Non-Academic Code, to hear allegations of misconduct and, if necessary, to impose sanctions upon any Student who is found to have violated the Non-Academic Code.

RESCISSION (CANCELLATION) OF DEGREE, DIPLOMA, CERTIFICATE OR ACADEMIC CREDITS

The cancelling of a degree, diploma or certificate, or the cancellation of academic credits obtained by a former Student who, while enrolled, committed any offence which, if detected before the granting of the degree, diploma, certificate, or credits, would have resulted in a complaint and the application of a sanction sufficiently severe that the degree, diploma, certificate, credits or marks would not have been granted. There may also be other circumstances when the University discovers that there was some breach of Academic Integrity or fraud involved in meeting admission or program requirements, and consequently, the awarding of a degree, diploma, certificate, or the earning of academic credits.

STUDENT

A person who is registered as a Student at the University, either full-time or part-time, pursuing undergraduate, graduate, as well as those Students on leave, those in professional studies, as well as those in non-degree studies, study-abroad or off-campus studies. This also includes individuals who confirm their intent to enroll in programs, Students between academic terms and those that were enrolled at the date of an alleged incident. Persons who withdraw after allegedly violating the Academic Integrity Code or who are not officially enrolled for a particular term but have a continuing relationship with the University are considered "Students."

SUPPORT PERSON

A person of the individual's choice, normally a friend, Elder, another member of the University community, who acts in a supportive role but is not an active participant in the process. The role of Support Persons (apart from legal counsel at the appeal process) is to provide personal and moral support, accompanying a Student to any meeting. The Support Person does not have the right to speak in the meeting or act as an advocate and may not ask questions of anyone present at the meeting. A Support Person must be present in person. It is the Student's responsibility to ensure that any Support Person can attend any meeting as scheduled.

SUSPENSION

Refers to a temporary exclusion from classes, program, Faculty, or activities of the University, or from the University itself, for a defined period of time as set forth in the judicial outcome letter but not to exceed a period of two academic years. In cases where a Student is suspended from all activities associated with all courses in the University, or is suspended from the University itself, a notation of "suspension" will be recorded on the Student's official transcript. The Student may request in writing to the Registrar to have the annotation removed after two years from the end of the academic year in which the suspension was imposed.

UNIVERSITY

Means Lakehead University. University "premises" means all buildings, services and lands owned, leased, operated, controlled or supervised by the University.

UNIVERSITY REPRESENTATIVE

The University Representative has primary responsibility for bringing forward suspected breaches of Academic Integrity; including but not limited to the faculty member or instructor of a course, Department Chair, School Director, Faculty Dean, graduate supervisor, invigilator, Registrar, or another individual in an official capacity with the University.

WORKING DAYS

Means Monday to Friday, excluding Saturday and Sunday, holidays observed by the University and unforeseen closures due to weather, emergencies or work stoppages.

Article I. General

Section 1.01 Any and all Decisions of the Judicial Panel or an Appeal Tribunal are final.

Section 1.02 The Judicial Panel may determine its own processes and the processes for Appeals from time to time, provided such processes are consistent with this Policy and the principles of natural justice including procedural fairness. Such processes in effect from time to time shall be posted on the University's website.

Section 1.03 Timeliness: Best efforts will be made to ensure appeal proceedings are handled in an expeditious manner. Students who are concerned about delays in the process may contact the Secretary.

Section 1.04 Confidentiality: The University expects everyone involved in an appeal process to respect the confidentiality of personal information of individuals.

Section 1.05 Definitions:

- (a) "Board" means the Board of Governors of Lakehead University;
- (b) "Judicial Panel Procedures" means those processes referred to in paragraph 1.02 herein, established by the Judicial Panel from time to time.
- (c) "LUSU" means the Lakehead University Student Union, and "LUSU President" means the president of LUSU;
- (d) "President" means the President and Vice-Chancellor of Lakehead University;
- (e) "Secretary" means the Secretary of the Judicial Panel as determined from time to time by the President.

Article II. Judicial Panel Composition

Section 2.01 There shall be a Judicial Panel composed of fifteen (15) persons of whom eight (8) shall be tenured members of the faculty of the University and seven (7) shall be full-time students (not being officers or full-time employees of the Lakehead University Student Union). Student nominees shall have been studying at a university for at least one (1) year and must have an academic standing of B (70 to 79 percent) or better. Judicial Officers shall not be eligible to serve on the Judicial Panel.

Section 2.02 The members of the Judicial Panel shall be appointed for up to three (3) year renewable staggered terms by the Board following a call for interest to serve to fill vacancies, and a recommendation of:

- the President, for faculty member appointees, and
- the LUSU President, for student member appointees.

The Board has the discretion to establish the process for such appointments from time to time, and for greater certainty while the above-mentioned recommendations should be considered, the Board has the discretion to make decisions regardless of such recommendations.

Section 2.03 The members of the Judicial Panel annually shall elect a Chair from the Panel's faculty members by majority vote.

Article III. Commencing an Appeal

Section 3.01 Any student who may appeal to the Judicial Panel may commence such appeal if valid grounds for appeal exist, within fifteen (15) working days after receiving an appealable decision. An Appeal will be incomplete unless it includes payment of the applicable administrative fee to the Office of Financial Services for each matter under appeal and such documentation required by the Judicial Panel Procedures.

Section 3.02 The only valid grounds for appeal are:

- (a) Substantial procedural or factual error, including without limitation the denial of natural justice, that reasonably could have materially affected an appealable decision;
- (b) Significant new and materially relevant information that was not available through diligence prior to the decision; and,
- (c) An excessive sanction.

Section 3.03 The Judicial Officer or other authorized person shall be entitled to respond to the Appeal, and the sanctioned student shall be entitled to reply to any such response, subject to the rules set out in the Judicial Panel Procedures.

Section 3.04 The Appeal shall be assigned to an Appeal Tribunal constituted in accordance with the Judicial Panel Procedures.

Section 3.05 Nothing herein shall relieve students of their obligation to review and understand any and all regulations, requirements and standards that may apply to their course of study, or to all students at Lakehead University.

Section 3.06 Appeals filed under this policy may be dismissed by the Chair of the Judicial Panel without hearing if it appears that the appeal is frivolous, vexatious, or an abuse of process, or there is no reasonable prospect that the appeal can succeed.

Article IV. Appeal Hearing Procedures

Section 4.01 The hearing of an Appeal shall be open to the public unless the Appeal Tribunal is of the opinion that confidential or sensitive financial, personal or other confidential matters may be disclosed at the Hearing that would outweigh the principle that Hearings ought to be open. Any of the Parties may ask that a Hearing be closed. The Appeal Tribunal may also decide to close a Hearing without receiving a request.

Section 4.02 The Appeal Tribunal shall have control over its own procedures provided they are consistent with this Policy, the Judicial Panel Procedures, and the principles of natural justice including procedural fairness.

Article V. Deliberations Following a Hearing

Section 5.01 The Appeal Tribunal is empowered to affirm or dismiss the appeal or to reduce, increase or otherwise alter the sanction. The Appeal Tribunal shall provide instructions detailed and clear enough such that the decision may be given full effect.

Section 5.02 Once the Appeal Tribunal has reached a decision, the Chief of the Appeal Tribunal shall submit a confidential written report to the parties to the Appeal, the Provost, and such other persons as are required to implement the decision. The report shall include the following information:

- (a) membership of the Appeal Tribunal;
- (b) background information regarding the appeal;
- (c) a summary of the main arguments on both sides; and
- (d) the final decision and reasons for the decision.

In the event the Appeal Tribunal wishes to make recommendations regarding policy or procedural changes, such recommendations shall be confidentially provided separately to the Provost for review.

Section 5.03 The Provost shall ensure that the decision of the Appeal Tribunal relative to the Appeal is implemented and may choose to make recommendations for policy and procedural changes arising from the disposition of the case. The University Secretary shall be informed of such recommendations and shall forward them to the responsible University authorities.