

## MEMORANDUM

**Date:** June 17, 2013

**To:** Mrs. Karen Roche, University Secretary

**From:** Dr. Azim Mallik, Chair, Senate Research Committee (2012/13)

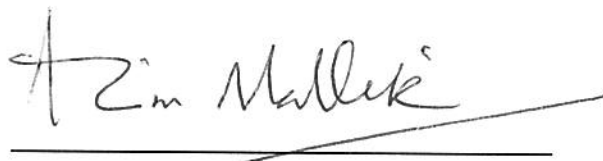
**Subject:** Motion re the Closure of the Advanced Institute for Globalization and Culture (AIG+C):

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At a meeting of the Senate Research Committee held Monday, June 17, 2013, members passed the following motion regarding the closure of the Advanced Institute for Globalization and Culture:

*"MOVED by Dr. Wely Floriano, SECONDED by Dr. Mary Clare Courtland that whereas the Senate Research Committee has completed its review of the Advanced Institute for Globalization & Culture (AIG+C), this Committee recommends that the AIG+C be terminated as per the Policies and Procedures for the Establishment of Centres and Institutes."*

Carried



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**AZIM MALLIK, Chair**

*Attachments*

## Director's Response to Internal Review of aig+c

On behalf of the Advanced Institute for Globalization + Culture, I would like to thank the members of the review panel for their work in reviewing the aig+c, and for their informed and constructive questions during my appearance before the panel.

The panel has recommended that the aig+c be closed, and, with some regret, on behalf of the aig+c I accept the panel's recommendation. The aig+c does not currently have the resources to make any further substantive contributions to Lakehead University, nor does it seem likely that we will have the needed resources in the near term. Given our lack of financial resources, and the fact that our lab space in PACI (it should be noted that we devoted considerable resources to the development of this space) was claimed by the Law School last summer, the aig+c no longer has any resources to offer researchers.

From its inception, the focus of the aig+c was largely on community engagement and creating a space for the public discussion of issues related to globalization and culture. To that end, we used our ASU funding to bring well-known scholars from across Canada to Lakehead University, to support academic events on campus and around the city, to support graduate student researchers, to engage with community partners on academic and other initiatives, and to establish a lab in PACI that provided space used by researchers associated with the aig+c to develop projects (on this last point see the above paragraph).

During its existence, at least two centre-initiated grant proposals were submitted (but were unfortunately unsuccessful), and many aig+c members were successful in receiving research grants and in publishing (either individually or collaboratively with other aig+c members). Unfortunately, under the current funding system only successful centre-initiated grants provide the funding base necessary to maintain the operations of a research centre, and as mentioned above we were unsuccessful in this regard, and thus were unable to get the funding needed to further research capacity.

As indicated above, I accept the recommendation of the review panel. I must take the opportunity, however, to respond to a few points made in the notes from the meeting of the review panel:

1) Across disciplines in the humanities and social sciences there is a substantial body of cultural and critical theory, as well as applied research, that has the intersection of culture and globalization as its focus. This is a prominent area of research in English and Cultural Studies, Film Studies, Sociology, Cultural Geography, Anthropology, and Education, for example. Major scholars such as Arjun Appadurai, David Harvey, Doreen Massey, Edward Soja, and many others, have produced well-known scholarship on the topic. I would suggest to the reviewers that globalization and culture is a major field of scholarship and has been for some time, and there a number of Lakehead researchers across the university (though not all are members of the aig+c) researching topics related to the mandate of the aig+c.

2) Throughout its existence, the aig+c has maintained an active publishing program. We have published 2 or 3 short features (scholarly, peer-reviewed short papers) a year on the aig+c website, and we have some articles currently in the pipeline, and most significantly published in collaboration with Definitely Superior art gallery a peer-reviewed e-book, *Superior Art: Local Art in a Global Context*, edited by four

aig+c members. The editors are currently seeking funding to publish a revised copy of this book in print form.

Finally, allow me to take this opportunity to thank the Office of Research Services, the Dean of Social Sciences and Humanities, and the Lakehead University community for their support of the aig+c over the past five years.

Yours,

Douglas Ivison, PhD  
Director, Advanced Institute for Globalization + Culture

**NOTES OF THE**  
**Internal Review Panel Meeting - AIG+C**  
**March 1, 2013**

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Present: Dr. Umed Panu, Chair  
Dr. Richard Maundrell  
Dr. Ed Rawana  
Janice Pellizzari (Notes)

Regrets: Dr. Curtis Fogel (Orillia)

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1. Objectives of the Director's Interview

The Chair reviewed the internal review criteria for assessing the Centre. Is the Centre functioning within these criteria? To date no letters of support have been received.

2. Preparation of Questions for the Director

Committee members felt the questions were acceptable and quite comprehensive. The issue of space was raised but it was included as part of the questions.

3. Director's Presentation

Dr. Doug Ivison, Director, AIG+C joined the meeting and distributed two handouts for members of the review panel. The first included information regarding upcoming events, planned events, information on research grants and priorities for the centre. The second outlined research contributions and support provided by the centre.

Dr. Ivison reviewed what was circulated to committee members, commenting on the various activities carried out over the past few years. He noted that funding became an issue for the centre when RDI and ASU grants were unsuccessful.

He reviewed proposed upcoming events and planned activities (a grad student conference scheduled for the fall of 2013 and the expressed interest in bidding for two national conferences. Should either of these bids be successful, AIG+C may play a coordinating and fundraising role).

Dr. Ivison noted that there is a sizable membership but that participation level is low and the group is mainly made up of those individuals who are already involved in university administrative duties i.e., current chair positions, committee memberships and research commitments. The current group is too busy to continue and proper leadership is not currently in place and the search is on going for someone but it appears tenuous at best. He outlined the Centre's priorities for 2013 as follows:



- the formation of a new Management Committee for 2013-14;
  - the development and submission of proposals for research and other grants (SSHRC, etc.);
  - revamping and maintenance of the current website to make it more user friendly;
  - to collaborate with on and off campus partners to bring researchers to Thunder Bay.
4. A number of questions were asked of Dr. Ivison, some of which he had answered during his presentation.

What is the Institute offering in the form of research capacity or resources to its researchers over and above what are available in their academic units?

- Without the necessary resources or funding it is difficult to provide assistance.

Are you actively seeking and/or have you secured any external research funding?

- There is one possibility of a grant for next year but whether it is successful is questionable. Dr. Chris Southcott and the Dean of Social Sciences & Humanities are willing to collaborate for funding re speakers etc.

If funding is not approved, how will you meet your stated objectives in the immediate future?

- Unsure, without stable funding it will be difficult.

Are the reasons for establishing the Institute still compelling? Why:

- Inevitably, the type of work has to change without adequate funding.

While the original purpose of the Institute was aligned with the 2007-2012 Strategic Research Plan, what areas would your Institute align with now under the NEW Academic Plan?

- Possibly in some of the original areas but not so much in the area of economic development.

Is it worthwhile to keep the centre?

- It would be a loss.
- It serves the SSHRC area closer to what they do
- There possibly have been missed opportunities
- Most likely would not be noticed as it is not as visibly active as it was in the beginning
- Research will certainly continue regardless
- The loss of adequate space was significant as it is difficult to offer support.

The committee reminded Dr. Ivison about the need for a supporting letter from Dean Siddall, and that it is to be submitted no later than March 6<sup>th</sup>.

Dr. Ivison left the meeting.

5. Review Panel Discussion following the Director's Interview

The Centre does not appear to be functioning as a full centre as it was originally created. Current members are doing research and functioning outside of the centre. There is no current funding and no funding proposals underway. The review committee could not understand the meaning of the original title 'Globalization & Culture' and would like to see the submission for the creation of the original centre.

In summary, the purpose of the centre is unknown, there is neither existing funding nor any being actively pursued, there have been no publications, and there are only 5 or so active members. The Director recognized the need to change direction. No letters of support were received and the Director didn't seem to know who he should have approached for such letters. He also did not provide any clear indication of staying on as Director himself or finding a replacement.

The committee was of the opinion that continuation of the Advanced Institute for Globalization and Culture (aig+c) is doubtful but will await the letters of support (due March 6<sup>th</sup>) to make the final decision.

**Amendment to Final Notes:**

Following the review of the one letter of support received for the Advanced Institute for Globalization and Culture (aig+c), the Internal Panel members were all in agreement that the aig+c is no longer performing at a satisfactory level. It was moved (Rawana) and seconded (Maundrell) that the Advanced Institute for Globalization and Culture (aig+c) be closed. The motion carried unanimously.

Notes approved by the Chair

Umed S. Panu

March 5, 2013

Tel (807) 343-8202  
Fax (807) 766-7155

Dr. Rui Wang, Vice-President (Research, Economic Development, and Innovation)  
Lakehead University

Dear Dr. Wang:

I am writing in support of the Advanced Institute for Globalization and Culture (aig+c) as it undergoes its periodic review.

Aig+c has proven itself to be a valuable and visible player in making issues around globalization and culture a focal point of intellectual debate at Lakehead University and in the Thunder Bay community. It has achieved this through an array of activities, including its own publications (of particular note, *Superior Art: Local Art in a Global Context*); bringing in internationally known speakers; supporting several conferences, film, dance and music events; supporting the establishment of a key new journal edited at Lakehead called *Amodern*, as well as supporting the publication of the book *Renegade Bodies: Canadian Dance in the 1970s*.

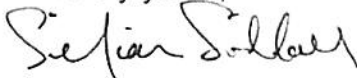
Its speaker series, which has brought in high profile thinkers such as Mark Kingwell and Diana Brydon, has been very well attended, not just by Lakehead faculty, staff, and students, but, significantly, by members of the Thunder Bay community as well. Indeed, I have been very impressed by the centre's commitment to reach out to the community, to bridge its research activities with the interests of the people of Thunder Bay.

Aig+c has also provided research grants for graduate students, and has hosted and funded a post-doctoral fellow.

I am very proud of the work aig+c does and I am happy to give it my full support.

Please feel free to contact me if you have any questions.

Sincerely yours,



Gillian Siddall Ph.D  
Dean, Faculty of Social Sciences and Humanities

August 22, 2012

FILE COPY

Dr. Doug Ivison, Director  
Advanced Institute for Globalization and Culture  
Lakehead University  
Room RB3038

**RE: 2012 Review of aig+c Annual Report**

Dear Dr. Ivison:

The Office of the Associate Vice President (REDI) would like to take this opportunity to thank you for submitting the annual report for the Advanced Institute for Globalization and Culture. We understand the time and effort it takes to put together such a detailed document.

After careful review of your Institute's report, there is cause for concern regarding the aig+c's inability to secure further funding to ensure the sustainability of the Institute. It is apparent that the Institute's projects are from the reporting year 2010-2011 with no current research projects undertaken. Scholarly activity is minimal, the progress report indicates the Institute was significantly lacking in reaching the desired objectives of the Institute. We look forward to learning about new initiatives being pursued to help sustain the operations and research activities of the Institute.

As the Institute is due for review this year, the report is missing the additional information requested as stated in Section 7: *In the year that the Centre is due for review, the Director's Annual Report will contain the following additional information:*

- *letters of support from appropriate faculty deans and other stakeholders indicating continued support for the Centre; and*
- *the names of up to three internal and three external appraisers who are deemed competent to assess the work of the Centre and who normally have not collaborated with any member of the Centre during the past six years. The Director will also submit a list of any individuals the University should not contact.*

If you have any suggestions or questions regarding the submission of annual reports, please do not hesitate to contact us.

Sincerely,





Umed S. Panu,  
Associate Vice President (Research, Economic Development & Innovation)  
LAKEHEAD UNIVERSITY  
Thunder Bay, ON  
Ph: 343-8407  
Fx: 766-7178  
[uspanu@lakeheadu.ca](mailto:uspanu@lakeheadu.ca)



ADVANCED INSTITUTE  
FOR GLOBALIZATION AND CULTURE

Office of the Vice-President  
(Research)

JUL 31 2012

Lakehead University  
Thunder Bay

## MEMORANDUM

Date: 30 July 2012

To: Dr. Rui Wang, Vice-President (Research, Economic Development, and Innovation)

From: Dr. Douglas Ivison, Director, Advanced Institute for Globalization and Culture

Re: Annual Report

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Please find attached the Advanced Institute for Globalization and Culture's Annual Report for 2011-12, including the materials necessary for the periodic review of the aig+c that is scheduled for this year.

I apologize for the delay in submitting this report, and hope that the delay has not caused too much inconvenience for you or the Research Office.

If you have any questions or concerns about the report, please don't hesitate to contact me.

Yours,

Douglas Ivison  
c/o Department of English

phone: 346-7825 / email: douglas.ivison@lakeheadu.ca

Lakehead  
UNIVERSITY

955 oliver road  
thunder bay, on p7b 5e1  
theagency.lakeheadu.ca

# Advanced Institute for Globalization and Culture (aig+c) Annual Report 2011-12

## 1a) 2011-12 Executive Committee:

Director: Douglas Ivison (English)  
Associate Director: Dean Jobin-Bevans (Music)  
Academic Committee Chair: Jane Nicholas (History)  
Publications Committee Chair: Tony Puddephatt (Sociology)  
Finance Officer: Clara Sacchetti (Philosophy)

## 1b) Advisory Council:

Diana Brydon (Manitoba)  
Justin Edwards (Surrey, UK)  
Fuhito Endo (Seikei, Japan)  
Henry Giroux (McMaster)  
Steven High (Concordia)  
Franca Iacovetta (Toronto)  
Duncan Ivison (Sydney, Australia)  
Mark Kingwell (Toronto)  
George Marcus (UC Irvine, USA)  
Veronica Schild (Western)  
Hanna Snellman (Helsinki, Finland)  
Imre Szeman (Alberta)  
Dan Yon (York)

## 2. Membership:

### Working Members:

Michel Beaulieu (History)  
Lori Chambers (Women's Studies)  
Aris Carastathis (Music)  
Walid Chahal (Sociology)  
Pallavi Das (History)  
Isaam Dawood (Business)  
Todd Dufresne (Philosophy)  
Monica Flegel (English)  
Kristy Holmes (Visual Arts)  
Douglas Ivison (English)  
Dean Jobin-Bevans (Music)  
Rupert Klein (Psychology) – Member, Publications Committee  
Jaro Kotalik (Centre for Health Care Ethics)  
Lada Malek (Biology)  
Jane Nicholas (History) – Member, Publications Committee  
Mark Nisenholt (Visual Arts)

John O'Meara (English)  
 Kezia Picard (Philosophy)  
 Tony Puddephat (Sociology)  
 Todd Randall (Geography)  
 David Richards (Business) – Member, Academic Committee  
 Jenny Roth (Women's Studies) – Member, Academic Committee  
 Connie Russell (Education)  
 Clara Sacchetti (Philosophy)  
 Gillian Siddall (Dean of Social Sciences and Humanities)  
 Chris Southcott (Sociology) – Member, Publications Committee  
 Batia Stolar (English)  
 Sandra Wolf (Education)

**General Members:**

David Buckton  
 Phyllis Callaghan  
 Rob Carty  
 Charles Conteh (Brock)  
 J. Samuel Driedger  
 Tom Dunk (Brock)  
 Gail Fikis  
 Tony Greco  
 Daniel Hansen  
 Krista Hansen  
 Maureen Hansen  
 Donn Hickerson  
 Christine Jensen-Bode  
 Victoria Kosny  
 Carol Knox  
 Nadia Kurd  
 Brian McKinnon  
 Paul Morralee  
 Bruce Muirhead (Waterloo)  
 Oliver Reimer  
 Aldo Ruberto  
 Amy Siciliano  
 Emir Vidjen  
 Hans Warmenhoven  
 Murray Whybourne

**3. Original Purpose of the aig+c:**

Globalization encompasses the social, cultural, and economic shifts that are characteristic of late or advanced capitalism. In practical terms, this means that there really are no aspects of contemporary life that are untouched by globalization, which necessarily binds the fate of Northwestern Ontario to the fates of people the world over. The aig+c was founded to provide a platform for community and research on any subject of relevance to our transnational reality, including the roles that culture plays as

inspiration, challenge, and economic stimulus. Our belief is that increased dialogue across disciplines, and beyond the academy, will not only inspire exciting new research collaborations, but will promote a distinctively urban appreciation of our unique place in the world.

The aig+c has been explicitly created for the following purposes, as indicated in (and approved as "Appendix B" of) our governance document:

1. to encourage and promote research, study, and discussion on the relationships between culture and globalization;
2. to conduct research on the linkages between globalization and the culture, society, politics, and economy of Northwestern Ontario;
3. to disseminate information about existing resources and publications on culture and globalization;
4. to conduct relevant workshops in order to facilitate research and train researchers;
5. to assist relevant educational institutions and other community organizations in research;
6. to establish relevant links with other researchers and research institutes, locally, nationally, and internationally;
7. to assist authors and publishers in the publication of relevant research results;
8. to establish formal links with existing relevant institutions and organizations in order to avoid duplication of effort;
9. to seek funding for projects that advance the aims of the aig+c.

#### **4. Ongoing Research Initiatives:**

This past year, working members of the aig+c have been meeting to develop a research project on "Globalization, Culture, and Thunder Bay", which will examine the local cultural production of Thunder Bay in the context of globalization. How does globalization affect the development of and ability to maintain a local culture? What opportunities does globalization present for local cultural producers? This project will likely incorporate collaboration with community partners at some stage. The goal is to submit a proposal for a SSHRC Insight Development Grant in February 2013.

#### **5. Scholarly Activities (2011-12)**

##### **Features (short peer-reviewed articles published on aig+c website):**

Neil McLaughlin and Skaidra Trilupaityte. "Global Ideas?: Soros' Difficult Reputation in Russia and the United States." April 2012.

An additional Feature is in the final stages of review and will be published over the summer.

**E-book:**

Todd Dufresne, Clara Sacchetti, Mark Nisenholt, and Kezia Picard (eds.), *Superior Art*. Published as e-book March 2012. Formerly launched at Definitely Superior Gallery in May 2012.

**Public Events:**

Book launch for *Superior Art*, the first e-book published by aig+c publications. Definitely Superior Gallery. 11 May 2012.

The aig+c Director had initial discussions with the Thunder Bay Art Gallery about collaborating with the TBAG in bringing a First Nations performance art event to Thunder Bay, likely involving a workshop or public event on campus. This is still in the planning stages.

**5. Continuing Education Activities, including Community Outreach**

The aig+c Facebook page is currently followed by 245 individuals in Thunder Bay and around the world.

In September, the aig+c submitted a proposal under the President's Research Initiatives (PRI) program for funding to develop a summer institute on globalization and culture. It did not receive the endorsement of the VP Research and thus was not forwarded for consideration.

**6. Financial Statement**

aig+c Revenues and Expenditures Statement for 2011-12 (as at 30 April 2012):

Revenue

ASU Grant Carry Forward	\$893.41
<b>TOTAL REVENUE</b>	<b>\$893.41</b>

Expenditures

Public Events	\$768.22
<b>TOTAL EXPENDITURES</b>	<b>\$768.22</b>

**NET REVENUE**                      **\$125.19**

**Note:** After the expiry of the ASU grant, \$125.19 was transferred to the aig+c's new budget code in Fall 2011.

**7. 2011-12 Activities and 2012-13 Priorities:**

2011-12 was a transition year for the aig+c, with a new Director and largely new Executive Committee, and a very different financial situation as a result of the expiry of the ASU grant that had provided funding for the first three years of the aig+c's operations. Some planned events did not occur, either due to lack of funding or changing priorities, and the executive was primarily concerned with adjusting the priorities of the aig+c to fit its changed circumstances. In short, the executive made the decision to

partially shift the aig+c's focus away from public outreach and public events and place more emphasis on supporting and generating research activity.

To that end, a group of working members began discussions (initially with the support of Kyla Reid, the SSHRC Research Facilitator) on developing a research project on "Globalization, Culture, and Thunder Bay". Our initial goal is to submit a proposal for a SSHRC Insight Development Grant in February 2013. The intention is for this project to involve a number of collaborators, both on and off campus. Eventually, we would hope to generate both academic research and community partnerships through this project.

In response to the President's Requests for Initiatives, we submitted a request for funding to develop a business plan for an aig+c Summer Institute. Unfortunately, that request did not receive the endorsement of the VP Research, and so it was not considered. We have begun some initial work toward developing a proposal for such an Institute, and hope to have a proposal for consideration in the coming year.

In the past year's competition for a new Canada Research Chair, the aig+c collaborated with faculty members in Women's Studies in submitting a proposal for a Tier 1 CRC in Gender, Law, and Equity. The aig+c also supported CRC applications in Ecocriticism and Sustainable Northern Communities, indicating to those preparing those proposals that it would be available to collaborate with and support a CRC in either area. Our hope is that we will collaborate with and provide support to the CRC in Northern Sustainable Communities over the next few years.

The Publications Committee plans to continue publishing peer-review Features through the aig+c website (one is already in the process of being reviewed). It is also looking to publish further e-books in collaboration with 11/17, a local graphics and design firm that administers our website and collaborated in the publication of our first e-book, *Superior Art*.

We plan to present a limited series of speakers on campus in 2012-13, possibly 2 or 3 speakers from Lakehead or the local community, and one invited speaker from beyond Lakehead. In addition, we may put together a graduate symposium on globalization and culture, which would feature graduate students' research. We also hope to feature one or two other public events, such as the potential collaboration with the Thunder Bay Art Gallery mentioned above.

It is expected, however, that the aig+c's efforts in the coming year will be primarily devoted to pursuing and supporting research initiatives related to the mandate of the centre.

## **8. Progress Report:**

### **a) Progress in Achieving Objectives:**

We have succeeded in achieving (at least in part) the objectives listed below (see #3 above for full list of objectives):

*i) to encourage and promote research, study, and discussion on the relationships between culture and globalization*

Over the past four years, the aig+c has presented scholars from across Canada on topics related to culture and globalization, including such well-known scholars as Mark Kingwell (Toronto), Abigail Bakan (Queen's), Dan Yon (York), Sherene Razack (Toronto), Diana Brydon (Manitoba), and Imre Szeman (Alberta), among others.

We sponsored a national conference and workshop on "Italian-Canadian Lives: Past, Present, and Future", held in Thunder Bay in October 2011, as well as Finn Forum IX, an international conference, in May 2010.

We provided Graduate Student Research Awards (totalling \$3850) to four Lakehead graduate students working on issues related to globalization, and also hosted a Postdoctoral Fellow (Kezia Picard; supervisor: Todd Dufresne) in 2010-11.

We provided funding (\$250) to the Lakehead English Students Association to support a special issue of its literary journal, *The Artery*, on the theme of globalization and culture.

We also provided funding (\$1000) to Dr. Scott Pound (English) to assist with the development of a peer-reviewed online journal, *amodern*, jointly hosted by Lakehead and Concordia. That funding supported the development of the platform for the journal.

*ii) to conduct research on the linkages between globalization and the culture, society, politics, and economy of Northwestern Ontario*

The aig+c submitted two unsuccessful applications for research grants, one for a SSHRC RDI grant on "Seeing Multiculturalism: Photovoice and Reflexive Information" and another for a SSHRC ASU grant for a project entitled "Musical Artists and the Creative Economy: Implementation, Analysis, and Impact of Local Music Culture in a Global Context."

More successfully, four members of the aig+c researched and produced an e-book, *Superior Art*, which explores local art production in the context of globalization. It was published by the aig+c in March 2012.

The aig+c is currently developing a research project on "Globalization, Culture, and Thunder Bay" which should result in a proposal for a SSHRC Insight Development Grant in February 2013.

*iii) to disseminate information about existing resources and publications on culture and globalization*

The aig+c facebook page has served as a venue for the dissemination of such information, as well as announcements about aig+c activities.

*iv) to conduct relevant workshops in order to facilitate research and train researchers*

In 2011-12, members of the aig+c met on a number of occasions with Kyla Reid, the SSHRC Research Facilitator, in order to facilitate research and learn about the new SSHRC guidelines and formats.

*vi) to establish relevant links with other researchers and research institutes, locally, nationally, and internationally*



As mentioned above, the aig+c has invited leading scholars to Lakehead to give lectures; their visits have also facilitated ongoing research relationships. For example, Imre Szeman (Alberta) participated in the SSHRC RDI grant proposal submitted by members of the aig+c.

*vii) to assist authors and publishers in the publication of relevant research results*

The aig+c Features page has published short peer-reviewed articles by researchers at Lakehead and elsewhere.

The aig+c provided a publication grant to Allana Lindgren (Victoria) to assist with the publication of *Renegade Bodies: Canadian Dance in the 1970s* (Dance Collection Danse), which featured an article by two aig+c members.

As mentioned above, Scott Pound (English) was awarded a grant to support the development of *Amodern*, a peer-reviewed online journal to be co-hosted by Lakehead and Concordia.

The aig+c published *Superior Art*, edited by aig+c members Todd Dufresne, Mark Nisenholt, Kezia Picard, and Clara Sacchetti, and provided funding to assist with the production of the manuscript.

*ix) to seek funding for projects that advance the aims of the aig+c*

As mentioned above, aig+c members have submitted unsuccessful SSHRC RDI and SSHRC ASU proposals, and plan to submit a SSHRC Insight Development Grant in February 2013.

## 9. Research Accomplishments

### Features:

Clara Sacchetti. "Water Justice, the Aesthetic, and Globalization Studies: An Anthropological Perspective." January 2009.

Mark Nisenholt. "Norval Morriseau: A World Art." May 2009.

Todd Randall. "Measuring the Response towards Neighborhood Intensification and Rediversification." September 2009.

Walid Chahal. "Liberal Multiculturalism and the New Anxiety: A Critique of Kymlicka." April 2010.

Todd Dufresne and Clara Sacchetti. "The Reluctantly Postmodernist Richard Florida: Place and the Aesthetics of Economic Development." April 2010.

Kezia Picard. "Power, Capitalism, and Hegemony: The Case of Barack Obama." October 2010.

Amy Siciliano. "Caught on Camera: Assessing the Aesthetic and Moral Potency of CCTV Surveillance." May 2011.

Neil McLaughlin and Skaidra Trilupaityte. "Global Ideas? Soros' Difficult Reputation in Russia and the United States." April 2012.

**E-book:**

Todd Dufresne, Mark Nisenholt, Clara Sacchetti, and Kezia Picard, eds. *Superior Art: Local Art in a Global Context*. aig+c Books, 2012.

**Public Lectures:**

Mark Kingwell (Toronto). "Concrete Reveries: Consciousness and the City." January 2009.

Elizabeth McLuhan. "The Shaman's Garden: Anishnaabe Traditions and the Roots of Morrisseau's Global Vision." April 2009.

Batia Stolar (Lakehead). "Immigrant Sightings: The Use of Photography in Ellis Island and Pier 21." December 2009.

Abigail Bakan (Queen's): "Barack Obama and the Transformation of US Politics." January 2010.

Imre Szeman (Alberta): "The Cultural Politics of Oil." February 2010.

Sonia Cancian (Concordia): "'Their letters were also about love!'" September 2010.

Dan Yon (York): "Tidialectics, Cosmopolitanisms and the Making of *Sathima's Windsong*." October 2010.

Diana Brydon (Manitoba): "Cross-cultural Learning in Global Times." November 2010.

Sherene Razack (OISE/Toronto): "Reading Bootprints on the Chest: Inquests into the Deaths of Aboriginal People in Custody." January 2011.

**Grant Applications:**

"Seeing Multiculturalism: Photovoice and Reflexive Information." Principal Investigator: Todd Dufresne. SSHRC RDI. (\$40,000). February 2010. (Unsuccessful).

"Musical Artists & the Creative Economy: Implementation, Analysis, and Impact of Local Music Culture in a Global Context." Principal Investigator: Todd Dufresne. SSHRC ASU. November 2010. (Unsuccessful).

**10. Financial Statements (2009-2012)**

	April 2009	April 2010	April 2011	April 2012
<b>Revenues</b>				
ASU Grant	\$28,500.00	\$26,500.00	\$26,500.00	\$0
Carry Forward	\$0	\$13,902.64	\$14,439.07	\$893.41
Membership Fees	\$240.00	\$245.00	\$135.00	\$0
Sponsorships Received	\$1000.00	\$500.00	\$1000.00	\$0
<b>Total Revenues</b>	<b>\$29,740.00</b>	<b>\$41,147.64</b>	<b>\$42,074.07</b>	<b>\$893.41</b>

<b>Expenditures</b>				
aig+c Founding Members' Research Grants	\$0	\$8000.00	\$0	\$0
Publications/Web Design	\$2717.60	\$1160.75	\$6421.18	\$0
Public Events	\$7040.42	\$8958.23	\$13,866.48	\$768.22
Sponsorships	\$825.00	\$6504.12	\$1766.04	\$0
Graduate Student Awards	\$0	\$0	\$3411.26	\$0
Postdoctoral Fellowship	\$0	\$0	\$5000.00	\$0
Research Sponsorships	\$0	\$0	\$747.41	\$0
Promotional Video	\$0	\$0	\$723.87	\$0
Equipment/Supplies	\$2560.35	\$39.43	\$6179.21	\$0
Salaries and Benefits	\$2479.67	\$1766.61	\$3065.21	\$0
Writers' Fees	\$100.00	\$393.75	\$0	\$0
Tuition Waiver Correction	\$114.32	(\$114.32)	\$0	\$0
<b>Total Expenditures</b>	<b>\$15,837.36</b>	<b>\$26,708.57</b>	<b>\$41,180.66</b>	<b>\$768.22</b>
<b>Net Revenues</b>	<b>\$13,902.64</b>	<b>\$14,439.07</b>	<b>\$893.41</b>	<b>\$125.19</b>

### 11. Five-year plan

Over the next five years, the aig+c's goal is to nurture research on globalization and culture, particularly as it pertains to Thunder Bay and the Northwestern Ontario. With the expiry of the ASU grant, the aig+c's focus will now be less on public events and more on generating and supporting research. We have identified "Globalization, Culture, and Thunder Bay" as the focus of our research project and plan to develop it in a number of different directions.

Our initial goal is to submit a proposal for a SSHRC Insight Development Grant in February 2013. If we are successful, this grant will provide us with the resources to further develop the research project so that we can prepare, with the collaboration of leading scholars across the country (and beyond), including members of the Advisory Council, a proposal for a SSHRC Insight Grant in 2015. As we develop this research project, we will be seeking collaborations with local cultural producers and community groups with the goal of putting on public events (on campus and off), organizing workshops, and hosting symposia related to the research project. Any research grant we submit will include a significant budget for training graduate students.

Over the next five years, the aig+c will seek to maintain its public presence by hosting speakers and other public events. The frequency and type of events will be determined by the amount of funding available to us, but we will host one or two speakers each year, and organize other events (e.g. a graduate student symposium). Where possible, we would hope to link our public events with LU Research Week, or other similar events, in order to maximize their impact.

The aig+c has also begun work on developing a Summer Institute, featuring scholars from Lakehead and elsewhere. We plan to complete a business plan over the next few months, and then begin the work of seeking support for this initiative.

The aig+c will continue to use its website to host Features (two or three a year), written by scholars from the Lakehead community and beyond, and we are actively seeking e-book publications.

Ultimately, the scope and extent of our activities will be dependent upon our success in receiving external research funding. Until we are successful in receiving a research grant, our public activities will be limited, and dependent upon funding from other on-campus sources.

## Implementation Guidelines for Sections 7 and 8

With reference to the Lakehead University document entitled “POLICIES & PROCEDURES FOR THE ESTABLISHMENT OF CENTRES AND RESEARCH INSTITUTES” approved by Lakehead University Senate February 27, 2004, the following text represents the implementation guidelines for sections 7 and 8 regarding the reporting requirements and review procedures for Research Centres and Institutes (*hereinafter ‘Research Centres and Institutes’ will be referred to as the ‘Centre’*).

### SECTION 7: Annual Report of the Centre

By June 30<sup>th</sup> of each year, all Centre Directors are required to submit annual reports to the Office of the Vice President, Research, Economic Development & Innovation (VP REDI). The Office of the VP (REDI) will in turn forward a review summary of all Centre reports including a list of those Centres slated for review to the Senate Research Committee.

The annual report of the Centre contains information which:

1. describes the original purpose of the Centre and includes a list of the specific objectives established since the previous annual report or when the Centre was established. If the original purpose of the Centre has changed substantially, it explains the nature and reasons for the change;
2. lists members of the Centre by category (whether, for example, the members are faculty, post-doctoral fellows or students), and provides an indication of their administrative contribution to the Centre, if any. It should also include the support staff employed by the Centre;
3. lists research projects of the Centre, accompanied by summary tables of relevant grants and contract funding (both active and pending);
4. describes the most important scholarly activities undertaken by the Centre during the preceding year. It should emphasize progress towards achieving the five-year objectives of the Centre rather than the activities of individual members. It is essential to provide information on publications, research support, prizes and awards, patents, licenses, research infrastructure, organization of scholarly events, involvement of visiting scholars and so forth;
5. describes the continuing education activities undertaken by the Centre as well as lists and explains the community outreach activities offered by the Centre;
6. describes the contributions made by the Centre to the training of undergraduate and graduate students and postdoctoral fellows, and is accompanied by summary tables listing the names of the trainees, their supervisors, dates of training, dates of receipt of degrees and names of current employers, if appropriate and includes a list of the thesis topics of graduate students and the research projects of postdoctoral fellows;
7. provides a detailed financial statement of the Centre showing revenues and expenditures for the past fiscal year including resources provided by the University (if any), as well as other sources of support for administrative purposes;

8. describes emerging trends that may affect the future activities and operations of the Centre, and provides a brief one year plan and a progress report on the original five-year plan of the Centre identifying future research directions, proposed budget and development strategies.

**In the year that the Centre is due for review**, the Centre's Annual Report will also contain the following additional information:

9. a five-year plan which should identify future research directions and development strategies;
10. letters of support from appropriate faculty deans and other stakeholders indicating continued support for the Centre; and
11. the names of up to three internal and three external appraisers who are deemed competent to assess the work of the Centre and who normally have not collaborated with any member of the Centre during the past six years. The Director will also submit a list of any individuals the University should not contact.

## **SECTION 8: Review Procedures**

The review procedure of Centres can involve several steps. Each of these steps is described below:

### ***A. Review Panel:***

The Senate Research Committee (SRC) in consultation with the Office of the VP (REDI) will appoint a Review Panel consisting of four members. The members of the panel will be selected from the following sectors:

1. One member of the SRC from a related discipline as the Centre;
2. One member as selected by the Office of the Vice President, Research;
3. One member from the list of names provided by the Centre Director in the Annual Report; and
4. One Lakehead University Research Centre Director who falls under the same Tri-Council Funding agency as the Centre being reviewed.

### ***B. Internal Review Process:***

Concentrating on the target areas outlined in Appendix A, the Review Panel will conduct an internal review to determine the strengths and weaknesses of the Centre. Within 30 days of completing the internal review, the Review Panel will prepare an Internal Review Summary, which will contain the following information:

1. a summary of the present status of the Centre, including a feasibility assessment of future projects and activities;
2. one of the following recommendations, supported by a detailed explanation:
  - 2.1. continuation of the Centre in its present form or with enhanced support;
  - 2.2. continuation of the Centre but with a strong recommendation for improvement followed by another internal review in 1-2 years; or
  - 2.3. closure of the Centre (through amalgamation, phasing out or termination);

The Review Panel will also assign one of the following ratings to the Centre under review:

- Excellent – having met most or all of the applicable criteria;
- Good – but need to address some deficiencies in the applicable criteria; or



- Unsatisfactory – with serious deficiencies in terms of the applicable criteria.

The Review Panel will submit their Internal Review Summary to the Centre Director who will have 30 days to provide a written commentary on the summary. The Internal Review Summary and Director's written commentary will be forwarded to the Senate Research Committee for discussion. Within 30 days of the receipt of the Internal Review Summary from the Review Panel, the SRC will convene to discuss its contents. The SRC will forward their recommendation to the Office of the VP (REDI). The VP (REDI) will review the SRC recommendation and with appropriate consultation, determines whether an external review is required. If an external review is not required, the VP (REDI) forwards the recommendation for continuation to the President. The President, with due considerations, forwards the recommendation to Senate for continuation of the Centre and the Centre can carry on as per the directive of the Senate.

### ***C. External Review Process:***

If the Centre is deemed not to have met one or more of the applicable criteria during the internal review, the Internal Review Committee may recommend that the SRC conduct an external review of the Centre. The Senate Research Committee in consultation with the Office of the VP (REDI) and the appointed Internal Review Panel will solicit three external appraisers, some of which may be chosen from those names provided by the Director of the Centre in the annual report. Concentrating on the target areas outlined in Appendix A, the external appraisers will conduct a review to determine the strengths and weaknesses of the Centre. Within 30 days of completing the external review, the external appraisers will prepare an External Appraisal Report, which will contain the following information:

1. a summary of the present status of the Centre, including a feasibility assessment of future projects and activities;
2. one of the following recommendations, supported by a detailed explanation:
  - 2.1. continuation of the Centre in its present form or with enhanced support;
  - 2.2. continuation of the Centre but with a strong recommendation for improvement followed by another internal review in 1-2 years; or
  - 2.3. closure of the Centre (through amalgamation, phasing out or termination);

The external appraisers will also assign one of the following ratings to the Centre under review.

- Excellent – having met all of the applicable criteria;
- Good – but need to address some deficiencies in the applicable criteria ; or
- Unsatisfactory – with serious deficiencies in terms of the applicable criteria.

The external appraisers will forward their report to the Office of the Vice-President, Research who will distribute a copy to the Director of the Centre under review for his/her comment. The Office of the Vice-President, Research must receive the Director's written commentary on the External Appraisal Report within 30 days of the receipt of the report. Additional meetings and consultations may be held, if necessary. The Office of the Vice-President, Research will forward the External Appraisers Report and the Centre Director's comments to the Review Panel for inclusion in their final report.

### ***D. The Final Review Report:***

Within 30 days of the receipt of the External Appraisers Report and the Centre Director's comments from the Office of the Vice President Research, the Review Panel is responsible for preparing the Final Review Report. This report will contain the following information:

1. a summary of the present status of the Centre, including a feasibility assessment of future projects and activities.

2. one of the following recommendations, supported by a detailed explanation:
  - 2.1. continuation of the Centre in its present form or with enhanced support;
  - 2.2. continuation of the Centre but with a strong recommendation for improvement; or
  - 2.3. closure of the Centre (through amalgamation, phasing out or termination);

The Review Panel will also assign one of the following ratings to the Centre under review:

- Excellent – having met all of the applicable criteria;
- Good – but need to address some deficiencies in the applicable criteria; or
- Unsatisfactory – with serious deficiencies in terms of the applicable criteria.

The Review Panel will submit its Final Review Report along with the Centre's Annual Report, the Internal Review Summary, the External Appraisal Report, and the Centre Director's comments to the Senate Research Committee for discussion.

***E. Adoption of the Recommendations of the Review Panel:***

Within 30 days of the receipt of the Final Report from the Review Panel, the Senate Research Committee will convene to discuss its contents. The SRC will then forward the recommendations to the Vice-President Research for further necessary action and/or decision.

If, after examination and assessment of the documentation, the recommendation of the SRC is to allow the Centre to continue operations, the SRC will forward the recommendation to the Vice President Research. With due considerations, the Vice-President Research will forward the recommendation to the President for approval. The President (or his/her designate) will forward the recommendation to Senate for further necessary action and/or decision.

If, after examination and assessment of the documentation, the recommendation of the SRC is to terminate the Centre, the SRC will forward the recommendation to the Vice President Research. With due considerations, the Vice-President Research will forward the recommendation to the President for approval. The President (or his/her designate) will forward the recommendation to Senate for further necessary action and/or decision.

In the event of a decision to terminate by Senate, the Centre will be disbanded within six months to permit the orderly termination of its responsibilities; this term will only exceed one year in exceptional circumstances.



## **APPENDIX A - INTERNAL AND EXTERNAL CENTRE REVIEW TARGET AREAS**

The Review Panel and External Appraisers will base their review on the target areas outlined below to assess the extent to which the Centre concerned is achieving its objectives, and judge its potential for future contributions to research excellence at Lakehead University. The reviewers will examine the Centre's annual report, meet with the Director of the Centre, research groups, faculty deans, departmental chairs, postdoctoral fellows, graduate students and support staff associated with the Centre. The review will ascertain whether or not:

- the reasons for establishing the Centre still remain compelling;
- the research, teaching and community outreach activities (if any) of the Centre are well developed;
- the Centre is actively seeking and securing external research funding; and
- the Centre has aligned itself with the overall goals and objectives of the Strategic Research Plan of Lakehead University.

### **Target Areas:**

#### ***A. Research Output and Publications***

The primary contribution of a Centre resides in the research capacity generated by its members beyond what they would produce if the Centre did not exist. The following points are to be taken into consideration when judging the quality of research:

- i. Publication of original work in any of the following:
  - quality refereed journals
  - books, subject to refereeing and/or subsequent review in professional and/or academic publications
  - refereed proceedings of major national and international conferences, particularly when someone from the Centre was invited as a plenary or keynote speaker
- ii. Research support: grants and/or other sources of financial assistance related to the members associated with the Centre
- iii. Prizes/awards
- iv. Patents and licensing
- v. Organization of international scholarly events
- vi. Participation of visiting scholars
- vii. New research infrastructure (such as laboratories, equipment, libraries and databases).

#### ***B. Contribution to Teaching and Training of Highly Qualified Personnel***

Of particular interest in assessing the value and relevance of a Centre is its contribution to research supervision of graduate students and postdoctoral fellows. This contribution should

be on a par with, or superior to that of, a good graduate department. For research facilities, contribution to research supervision may or may not be one of its goals and thus this section may be omitted for those research Centres where research supervision is not a goal. The following criteria will be used to evaluate research supervision at a Centre:

- i. Does the Centre enhance and support opportunities for discussions and meetings between research supervisors, graduate students and postdoctoral fellows?
- ii. Does the Centre have resources available to students beyond those accessible through their graduate programs?
- iii. Does the Centre create and support opportunities for students to publish or present at Centre-organized events?
- iv. Does the Centre improve employment prospects for students, for example through networking events and services?

### ***C. Public and Professional Activities***

Public and professional activities include sponsoring or participating in conferences and symposia; editing academic journals and books; acting in an advisory capacity on public commissions, boards and task forces; preparing special reports and working papers; being a member of an editorial board of a refereed journal; and so forth. The nature and type of service to the community will vary depending on the area of interest of the Centre.

### ***D. Research Collaborations***

The review will consider whether the Centre maintains appropriate links with other Centres within the University and externally with other universities, industries and not-for-profit organizations. The appraisers will review the web site of the Centre and assess whether it is meeting the expectations of the University, the scientific community and the public at large with respect to presentation, organization and information. Appraisers will assess the effectiveness of the external advisory committee, if one exists.

### ***E. Financial Viability and Administrative Efficiency***

- i. The administrative organization must be satisfactory and the leadership strong and effective.
- ii. Sufficient support staff should be employed to ensure the effective operation of the Centre, facility and institute.
- iii. The Centre's offices and installations must be appropriate to its work.
- iv. The administrative budget, including all external and internal sources, should be adequate.